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71 Applicant: GENENTECH, INC., 460 Point San Bruno  
Boulevard, So. San Francisco California 94080 (US)

72 Inventor: Hitzeman, Ronald Arthur, 1251 Rosita, Pacifica  
California 94044 (US).  
Inventor: Leung, David Wei-Hung, 2332 Greendale Drive,  
South San Francisco California 94080 (US)

74 Representative: Goldin, Douglas Michael et al, J.A.  
KEMP & CO. 14, South Square Gray's Inn, London  
WC1R 5EU (GB)

54 Expression processing and secretion of heterologous protein by yeast.

57 A yeast host organism is genetically altered via recombinant DNA technology so as to be directed to express, process and secrete a polypeptide ordinarily heterologous to the host which is recoverable in discrete form, free from unwanted polypeptide presequences or other artifact of expression.

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EXPRESSION, PROCESSING AND  
SECRETION OF HETEROLOGOUS  
PROTEIN BY YEAST

Field of the Invention

This invention is directed generally to recombinant DNA technology utilizing yeast host systems and expression vehicles that express, process and secrete heterologous protein as discrete product unaccompanied by unwanted  
5 presequence or other artifact of expression.

The discovery upon which this invention is based is the first instance where a protein normally heterologous to a yeast host is recoverable, in useful quantities, from the

medium supporting the yeast culture, it having been expressed, processed and secreted by the yeast organism in a manner mimicking its production in native cell environment. Thus, this invention results from the successful manipulation of expression vehicles and yeast host so as to direct the synthesis of protein not normally synthesized by the yeast host, and notably, to regulate the yeast host so as to cause the protein to come under direction of its secretory pathway. Thus, this invention is directed to the means and methods of obtaining useful quantities of heterologous protein from the medium of a yeast culture containing viable cells harboring expression vehicles containing DNA encoding the protein. Of enormous advantage is the enablement, by this invention, of obtaining useful, discrete protein product in the cell culture medium, eliminating resort to cell lysis in order to recover product hitherto only accessible from the cell contents, often in a form other than mature.

The publications and other materials referred to herein to illuminate the background of the invention, and in particular cases, to provide additional detail respecting its practice are incorporated herein by reference, and for convenience, are numerically referenced and grouped in the appended bibliography.

#### Background of the Invention

Yeast organisms naturally transport a small number of certain homologous proteins to and sometimes through the plasma membrane as an essential contribution to cell surface growth and cell metabolism. As the cell buds as an incident of reproduction preparatory to formation of a daughter cell, additional proteins are required for formation of cell wall and plasma membrane as well as for metabolism. Some of these

proteins must find their way to the site of function; hence, a secretory pathway is believed to exist (1). Certain homologous proteins involved in the above processes are formed by translation in the endoplasmic reticulum. Homologous  
5 proteins are those normally produced by the yeast species and required for its viability. Once formed, they migrate by enzymatic transfer to Golgi apparatus, thence within vesicles to plasma membranes where some associate, or to some extent, penetrate into the space between the plasma membrane and the  
10 cell wall. A small number of homologous proteins seems to be exported completely through the cell wall, such as  $\alpha$ -factor and killer toxin (2,3).

Again, the bud region of the cell seems to be the site of attraction for the vesicles and by their fusion to the inner  
15 surface of the bud they contribute to the overall growth of the plasma membrane, and presumably, the cell wall (4,5,6). This theory provides no proof that secretion or migration of the protein(s) through the membrane actually occurs. Likewise, it is controversial still whether glycosylation of  
20 the protein may assist, or is implicated, in the so-called secretory process. Further, by definition "secreted" proteins are believed to have a signal prepeptide, postulated to be associated with the transport or incorporation process at the membrane surface. The function of such modifications of the  
25 mature protein, if any, in the secretory process and the overall role of the secretory pathway in surface growth are speculations not grounded in firm proof.

It was contemplated that recombinant DNA technology could provide valuable assistance in answering the open questions  
30 about the secretory process in yeast organisms and, given its proven applicability in enabling such, and other, organisms to produce copious quantities of heterologous polypeptide

products endogenously (See, e.g., 7 to 17), in achieving appropriate manipulation of the yeast host so as to direct the secretion of heterologous protein in discrete, mature form.

#### Summary of the Invention

5        This invention is based upon the discovery that yeast organisms can be caused to express, process and secrete protein that is normally heterologous to the yeast organism and not required for its viability such that the protein can be obtained from the medium supporting the viable, producing  
10 yeast cells in discrete form unaccompanied by unwanted polypeptide presequence or other artifact of expression. Suitable yeast cells in a viable culture are transformed with expression vehicles harboring DNA encoding a heterologous protein and a heterologous signal polypeptide. Upon  
15 expression of the protein together with the heterologous signal polypeptide, the expression product is processed and the mature heterologous protein is exported into the medium of the cell culture. The product is removed with relative ease from the medium, without need to disruptively disturb the  
20 viable yeast cells, and recovered in otherwise native form for use without need to remove unwanted presequence or other artifacts of expression (e.g., the methionine attached to the otherwise first N-terminus amino acid which is an expressional consequence of the AUG translational start signal codon).  
25 Thus, the medium can be obtained in a form substantially free of viable or disrupted (i.e., lysed or otherwise broken) cells and, given as it contains the desired product, is susceptible to more easily employed purification techniques. Such product, after purification, is fit for use as intended. For  
30 example, human leukocyte interferon product finds use as a

human antiviral and/or antitumor agent (See, generally, 7 to 17).

In summary, the present invention comprises a protein normally heterologous to a yeast organism and not required for its viability, in discrete form unaccompanied by polypeptide presequence or other artifact of expression, as a product of yeast expression, processing and secretion as well as to the means and methods employed in producing such protein.

Further, this invention provides yeast cultures capable of producing such protein and resultant yeast culture media containing such protein as product.

By the term "heterologous protein" as used herein is meant protein that is not normally produced by or required for viability of a yeast organism. This term contemplates the functional insertion of DNA encoding such protein, via recombinant DNA technology, into an expression vehicle, in turn used to transform a yeast organism host. Functional insertion of DNA connotes the insertion of DNA encoding the heterologous protein and presequence into an expression vector under control of expression directing promoter systems.

Examples of such heterologous protein are hormones, e.g., human growth hormone, bovine growth hormone, etc.; lymphokines; enzymes; interferons, e.g., human fibroblast, human immune and human and hybrid leukocyte interferons, etc.; viral antigens or immunogens, e.g., foot and mouth disease antigens, influenza antigenic protein, hepatitis core and surface antigens, etc.; and various other polypeptides, e.g., human serum albumin, human insulin, various glycoproteins, etc. "Heterologous prequence" or "heterologous signal polypeptide" refers to such polypeptides not normally produced or employed by a yeast system and may be selected from the signal polypeptide native to the heterologous protein under

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consideration or other heterologous (signal) polypeptide functionally linked to the heterologous protein under consideration.

"Secretion" as used herein means exportation of product through the plasma membrane and at least into or through the cell wall of the yeast organism into the medium supporting the cell culture. In this connection, it will be understood that in some instances, "secreted" product associates in some manner with the cell wall perhaps necessitating a different purification procedure or a modification of the structure and function of the yeast host. "Processing" means the cellular, cleavage of the signal polypeptide from the mature protein so as to produce the protein unaccompanied by extraneous peptide in -- so-called discrete -- mature form. By extraneous peptide is included peptide artifacts of expression such as methionine, as noted above. Processing admits of inconsequential cleavage of the signal polypeptide at a locus not inactivatingly near the precise point of signal polypeptide union with matured protein.

#### 20 Brief Description of the Drawings

Figure 1 depicts a comparison of the amino acid sequence of the signal prepeptide of human IFN- $\alpha$ 1 (pre D), IFN- $\alpha$ 2 (pre A), IFN- $\alpha$ 1,2 (pre D/A), IFN- $\gamma$  (pre  $\gamma$ ), and IFN- $\beta$  (pre  $\beta$ ). The amino acids underlined represent differences between the amino acid sequences of pre A and pre D. The DdeI site indicates the junction of the D and A presequences in preparation of the hybrid pre D/A presequence.

Figure 2 is a diagram of the yeast expression plasmid YEpIPT, used herein as a general vehicle for expression of

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various gene inserts, showing some of its restriction sites and various regions of interest.

Figure 3 shows the construction of an EcoRI fragment containing the pre-plus IFN- $\alpha$ 1 gene for direct expression of  
5 IFN- $\alpha$ 1 in yeast.

Figure 4 show the construction of an EcoRI fragment containing the mature IFN- $\alpha$ 2 gene for direction expression in yeast.

Figure 5 shows the construction of an EcoRI fragment  
10 containing the pre-plus IFN- $\alpha$ 2 gene with the coding sequence of the first 14 amino acids in the presequence of pre-IFN- $\alpha$ 1, for direct expression of IFN- $\alpha$ 2 in yeast.

Figure 6 shows the construction of an EcoRI fragment containing the pre-plus IFN- $\gamma$  gene with 70 bp of leader  
15 sequence preceding the initiation ATG codon for expression of IFN- $\gamma$  in yeast.

Figure 7 shows the construction of an EcoRI fragment containing the pre-plus IFN- $\gamma$  gene for the direct expression of IFN- $\gamma$  in yeast.

20 Figure 8 provides a summary of all the constructs used to practice the present invention in the expression, processing and secretion of the various IFN genes in yeast.

Figure 9 is a growth curve of a) YEplPT-LeIFA1/pep4-3 and b) YEplPT-preLeIFA53t/pep4-3 measured by Abs<sub>660m $\mu$</sub> . Media  
25 was assayed for interferon activity for a) and for b) using a bioassay. Time refers to hours of growth at 30°C.

Figure 10A is a growth curve for a 5 l fermentation of YEplPT-preLeIFA53t/pep4-3. (O) is Abs<sub>660 m $\mu$</sub>  and (O) million units per liter of interferon activity inside the cells.

30 Figure 10B is the same growth curve as defined in Figure 10A graphing activity from the media.



Figure 11 is an elution profile of media pre D/A LeIF from a monoclonal antibody column.

Figure 12 is the HPLC tracing of the peak A interferon pool from the monoclonal antibody column.

5      Figure 13 is the result of amino acid sequencing of product obtained after HPLC purification.

Figure 14 depicts the various constructions employed to produce human growth hormone (HGH) in accordance herewith.

Figure A schematically illustrates the restriction map of 10 the 3.1 kbp HindIII insert of vector pB1 from which the PGK promoter was isolated. Indicated is the insertion of an EcoRI site and an XbaI site in the 5'-flanking DNA of the PGK gene.

Figure B illustrates the 5'-flanking sequence plus the initial coding sequence for the PGK gene before insertion of 15 an XbaI and EcoRI sites.

Figure C schematically illustrates techniques used to insert an XbaI site at position - 8 in the PGK promoter and to isolate a 39bp fragment of the 5'-flanking sequence of PGK containing this XbaI end and a Sau3A end.

20      Figure D schematically illustrates the construction of a 300 bp fragment containing the above 39bp fragment, additional PGK 5'-flanking sequence (265bp) from PvuI to Sau3A (see Fig. A), and a EcoRI site adjacent to XbaI.

Figure E schematically illustrates the construction of the 25 1500 bp PGK promoter fragment (HindIII/EcoRI) which contains; in addition to the fragment constructed in Fig. 4, a 1300bp HindIII to PvuI fragment from PGK 5'-flanking sequence (see Fig. A).

Detailed DescriptionMaterials

All DNA restriction and metabolism enzymes were purchased from New England Biolabs and from Bethesda Research Laboratories. DNA restriction enzyme and metabolic enzymes were used in conditions and buffers described by their respective manufacturers. ATP and the deoxynucleotide triphosphates dATP, dGTP, dCTP and dTTP were purchased from PL Biochemicals. DNA linkers were made by standard methods.

10 DNA Preparation and Transformation

Purification of covalently closed circular plasmid DNAs from E. coli (18) and transformation of E. coli (19) were done in accordance with previously described procedures. E. coli miniscreens were as described by (20). Transformation of yeast was done as previously described (21), however with the following modifications. Two hundred ml of cells were used at  $2 \times 10^7$  cells/ml and washed with 25 ml  $H_2O$  by centrifugation. These cells were treated with 10 ml of 1M sorbitol, 25 mM EDTA (pH=8) and 50 mM dithiothreitol for 10 min at 30°C followed by a 10 ml wash of 1M sorbitol. Pelleted cells were then gently resuspended in 10 ml of SCE (1M sorbitol, 0.1M sodium citrate, pH=5.8, and 0.01M EDTA) and treated at 30°C with 200 µg of zymolyase 60,000 (Kirin Brewery). Spheroplasting was followed to 80 percent by adding 25 100 µl of the suspension to 0.9 ml of 10 percent SDS and measuring  $Abs_{800\text{ m}\mu}$ , using a dilution of cells before adding the enzyme as 0 percent (lysis in the 10 percent SDS results in a drop in  $Abs_{800}$ ). The cells were then washed 3X with 10 ml of 1M sorbitol. Cells can be stored several days at 0°C in 30 the sorbitol. The cells were then washed once with 1M

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sorbitol, 10 mM  $\text{CaCl}_2$ , and 10 mM Tris-HCl (pH 7.4) and then resuspended in 1 ml of the same. Five to 15  $\mu\text{g}$  of purified plasmid DNA or 20  $\mu\text{l}$  of E. coli derived miniscreen DNA (2/5 of the plasmid DNA from a 5 ml stationary culture of E. coli grown in LB) were then added and gently mixed with 100  $\mu\text{l}$  of the resuspended cells for 15 min. Then 1 ml of a solution containing 20 percent (w/v) polyethylene glycol 4000 (Baker), 10 mM  $\text{CaCl}_2$ , and 10 mM Tris-HCl (pH 7.5) was added with gentle mixing for 15 min. The cells were then centrifuged down and gently resuspended in 200  $\mu\text{l}$  SOS (1 M sorbitol, 33.5 percent (v/v) YEPD broth, and 6.5 mM  $\text{CaCl}_2$ ) and incubated at 30°C for 20 min. One hundred  $\mu\text{l}$  of this suspension was then placed on a Petri plate containing 20 ml of bottom agar (182g sorbitol, 20g glucose, 6.7g YNB, and 30g Difco agar per liter of  $\text{H}_2\text{O}$ ) and covered with 10 ml of 50°C top agar (same as bottom agar but with 1 ml of adenine (1.2 mg/ml), 1 ml uracil (2.4 mg/ml), and 1 ml of -trp drop-out mix per 50 ml of bottom agar [-trp drop-out mix contains these amino acids per 100 ml of  $\text{H}_2\text{O}$ : 0.2g arg, 0.1g his, 0.6g ile, 0.6g leu, 0.4g lys, 0.1g met, 0.6g phe, 0.5g thr]. This  $\text{Trp}^+$  selection results in  $10^3$  to  $10^4$  yeast transformants per  $\mu\text{g}$  plasmid DNA.

Yeast plasmid was obtained from yeast by growing 15 ml of yeast to stationary phase ( $\text{Abs}_{660} = 5-6$ ) in YNB+CAA (see Strains and Media infra) by spheroplasting as in the procedure above, by pelleting of cells, and by using the E. coli miniscreen procedure (without lysozyme) as described by (20).

Stability of plasmids in yeast was determined by diluting cells during selective growth in YNB+CAA and plating on YEPD plates (nonselective). After 2 days growth at 30°C, these plates were replica plated to YNB+CAA plates ( $\text{Trp}^+$  selection). Percent plasmid stability was calculated by number of colonies that grow nonselectively minus those that

don't grow selectively divided by number of colonies grown nonselectively times 100.

### Strains and Media

E. coli K-12 strain 294 (ATCC no. 31446) (22) was used for  
5 all other bacterial transformation. Yeast strains pep4-3  
(20B-12, d trp1 pep4-3) (23) and GM3C-2 (a, leu 2-3, leu  
2-112, trp 1-1, his 4-519, cyc 1-1, cyp 3-1) (24) were used  
for yeast transformations. Yeast strains 20B-12 and GM3C-2  
have been deposited without restriction in the American Type  
10 Culture Collection, ATCC Nos. 20626 and 20625, respectively,  
each on 5 March 82. Various yeast strains can be  
employed--see Lodder et al., The Yeasts, a Taxonomic Study,  
North-Holland Publ. Co., Amsterdam. Similarly various media  
can be employed--Difco Manual of Dehydrated Culture Media and  
15 Reagents for Microbiological and Clinical Laboratory  
Procedures, 9th Ed., Difco Laboratories, Inc., Detroit,  
Michigan (1953).

LB was as described by Miller (25) with the addition of 20  
µg/ml ampicillin (Sigma) after media is autoclaved and  
20 cooled. Yeast were grown on the following media: YEPO  
contained 1 percent yeast extract, 2 percent peptone and 2  
percent glucose +3 percent Difco agar. YNB+CAA contained 6.7  
grams of yeast nitrogen base (without amino acids) (YNB)  
(Difco), 10 mg of adenine, 10 mg of uracil, 5 grams Difco  
25 casamino acids (CAA), 20 grams glucose and +30 grams agar per  
liter (used for Trp<sup>+</sup> selection).

### Growth Curve and Extract Preparation

Individual colonies of yeast strains YEpIPT-preLeIF-A  
53t/pep4-3 and YEpIPT-LeIF-A 1/pep4-3 were grown for 7 hours  
30 at 30°C in 100 ml YNB+CAA to an A<sub>660</sub> of approximately 1.1.

One hundred milliliters of these cultures were diluted to 1 liter with YNB+CAA to give a solution with  $A_{660}$  of 0.1. These 1 l cultures were then grown at 30°C and 10 ml aliquots were drawn periodically to measure optical density, interferon  
5 production and secretion. For assay each 10 ml aliquot was centrifuged at 7K rpm for 15 minutes in a Sorval RC3B. The supernate (media) was assayed without dilution. The cells were resuspended in 0.4 ml 7M guanidine-HCl containing an equal volume of glass beads and vortexed twice for 2 minutes  
10 at high speed. The cell lysate was then diluted into PBS/BSA (150 mM NaCl, 20 mM sodium phosphate (pH=7.9), and 0.5 percent bovine serum albumin) for bioassay.

#### Interferon Assays

Extracts of yeast were assayed for interferon by  
15 comparison with interferon standards by the cytopathic effect (CPE) inhibition assay (26). Yeast extracts were prepared as follows: Ten ml cultures were grown in YNB+CAA until reaching  $A_{660}$  1-2. Cells were collected by centrifugation then resuspended in 3 ml of 1.2 M sorbitol, 10 mM  $KH_2PO_4$ ,  
20 pH=6.8 and 1 percent zymolyase 60,000 then incubated at 30°C for 30 min (to 90 percent spheroplasting). Spheroplasts were pelleted at 3000 xg for 10 min., then resuspended in 150  $\mu$ l of 7 M guanidine hydrochloride (GHC1) plus 1 mM phenylmethylsulfonylfluoride (PMSF). Extracts were diluted 20  
25 to 100 fold in PBS/BSA buffer (20 mM  $NaH_2PO_4$ , pH=7.4, 150 mM NaCl, 0.5 percent BSA) immediately before the assay. Alternatively, 10 ml of cells at the same  $A_{660}$  were pelleted and resuspended in 0.4 ml of 7M GHC1 in an Eppendorf (1.5 ml) tube containing about 0.4 ml of glass beads (0.45 to 0.5 mm,  
30 B. Braun Melsungen AG). These tubes were vortexed 2x for 2 min at highest vortex setting, keeping on ice in between. The

extracts were centrifuged 0.5 min. in Eppendorf centrifuge and diluted in PBS/BSA buffer as above. Bioassays were performed with MDBK cells (26) for LeIF A, LeIF D, and the pre-forms; but with HeLa cells (26) for IFN- $\gamma$  and preIFN- $\gamma$ .

#### 5 Purification of (pre D/A) LeIF A from the Media

A single colony of yeast strain YEpIPT-preLeIF-A

53t/pep4-3 was grown at 30°C in 500 ml YNB+CAA to an  $A_{660}$  of 2.4. Five hundred milliliters of this culture was diluted to 5L with YNB+CAA to give an  $A_{660}$  of 0.21; the resultant 5L  
10 culture was grown at 30°C until  $A_{660} = 4$ . At this time the 5L culture was harvested by centrifugation at 7,000 rpm for 10 minutes. Ten milliliter aliquots were withdrawn periodically during the fermentation to measure optical density, interferon production and secretion. Before assay, each aliquot was  
15 centrifuged for 5 minutes in a bench-top refrigerated centrifuge to separate the cells from the media. The medium and cells were assayed as described above (See Figs. 9, 10A, 10B).

The media was concentrated to a final volume of 200ml and  
20 then diafiltered against tris/cys/EDTA, pH 8.0 on a 1000 dalton ultrafiltration membrane (O-PS, Osmonics) in an Amicon thin channel apparatus (TCF 10). The retentate (200 ml) was passed over a 1.5 ml immunoaffinity column containing a  
monoclonal antibody to LeIF-A covalently bound to Affigel 10  
25 (BioRad) at a flow rate of 15 ml/hour. More than 80 percent of the interferon activity in the original solution bound to the column. The flow through was then reapplied to the column at a flow rate of 40 ml/hour. Following the second  
application, approximately 7 percent of the original  
30 interferon activity was found in the flow through. The column was then washed with 0.2M NaCl in tris/cys/EDTA, pH 8.0.

Approximately 1.7 percent of the activity was eluted during this wash.

The bulk of the interferon activity (approximately 50 percent of the original activity) was then eluted from the column with 51.5 ml pH 5.5 deionized water. The column was finally washed with 22.5 ml 0.2M acetic acid to elute any remaining protein; approximately 8 percent of the original activity was eluted. Following the acetic acid elution, the column was re-equilibrated with tris/cys/EDTA, pH 8.0.

10 Fractions of 2.25-4.5 ml were collected during the water, acetic acid and tris/cys/EDTA washes (Fig. 11). Fraction numbers 1-7 were pooled and lyophilized to dryness. The residue was redissolved in 200  $\mu$ l 0.1 percent trifluoroacetic acid (TFA), pH 2.5 and further purified by HPLC on a 15 Synchropak RP-P column. The column was eluted at a flow rate of 1 ml/minute with a linear gradient of 0 to 100 percent acetonitrile in 0.1 percent TFA, pH 2.5. One milliliter fractions were collected and assayed following dilution into PBS/BSA as described above. A 2.5  $\mu$ g sample of purified IFN-A 20 was also chromatographed as a control (Fig. 12). The interferon activity eluted from the column as a single peak centered around fraction 39. This fraction was lyophilized to dryness and the residue sequenced.

#### Sequence Analysis

25 Sequence analysis was based on the Edman degradation (27). The sample was introduced into the cup of a Beckman 890B spinning cup sequencer. Polybrene<sup>TM</sup> (poly N,N,N<sup>1</sup>N<sup>1</sup>-tetraymethyl - N-trimethylenehexa methylene diammonium diacetate) was used as a carrier in the cup (28). 30 The sequencer was modified with a cold trap and some program changes to reduce background peaks. The reagents were

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Beckman's sequence grade 0.1 molar Quadrol buffer, phenylisothiocyanate, and Heptafluorabutyrlic acid.

A modification also included automatic conversion of the 2-anilino-5-thiazolinone derivatives as they were extracted from the sequencer. The 1-chlorobutane was collected in a Pierce Reacti-Vial<sup>TM</sup> and dried under nitrogen. Then 25 percent trifluoroacetic acid (TFA) in water was added to the 2-anilino-5-thiazolinone and heated to 20°C for 10 min to convert it into the 3-phenyl-2-thiohydantoin (PTH derivative) (29). The PTH-amino-acid residue was then automatically dissolved in 50 percent acetonitrile and water and injected into a reverse-phase high-pressure liquid chromatograph. Each PTH-amino acid was then identified by comparison to the retention times of a standard mixture of PTH-amino acids that was introduced into the conversion vial and treated the same way as a cycle from the sequencer. Results are summarized in Figure 13 and discussed infra.

#### Western Blotting Procedure

Proteins were first subjected to electrophoresis on a 20 polyacrylamide slab gel in the presence of sodium dodecyl sulfate (46,47) before electrophoretic transfer to nitrocellulose paper (S + S BA 85) and subsequent immunological identification of HGH. The transfer apparatus (Electroblot, E.C. Apparatus Corp., St. Petersburg, FL.) was 25 assembled after the gel and nitrocellulose paper had been washed briefly with a transfer buffer containing 25mM Tris, 192mM glycine, pH 8.4. The transfer was carried out at 400ma. for 1.5 hrs., after which the blot was placed in 50mM Tris pH 7.4, 0.15 M NaCl, 5mM EDTA, 0.25 percent gelatin (w/v), 0.05 30 percent NP-40 and agitated gently overnight. An appropriate dilution of rabbit anti-human hGH antiserum was placed in a



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plastic bag with the blot and NP-40/gelatin buffer (typically 100ul/cm<sup>2</sup>) and incubated 2 hrs. at room temperature with gentle rocking. The blot was washed briefly with H<sub>2</sub>O followed by NP-40/gelatin for 1 hr., and probed with [<sup>125</sup>I] Protein A (New England Nuclear) diluted into NP-40/gelatin buffer in a Seal-a-Meal bag for 1 hr. at room temperature with gentle rocking. After washing several times with H<sub>2</sub>O, the blot was wrapped in cellophane and placed in a cassette overnight with X-Omat-AR film (Kodak) with an intensifying screen at -70°C.

#### Gel Staining

Polyacrylamide gels containing protein were stained by the method of Oakley et al. (41).

#### Secretion signal sequences of mammalian interferon genes

With the recent isolation of cDNAs containing the genes for leukocyte (7), fibroblast (10), and immune (30) interferons the recent development of yeast as an expression system for heterologous genes (14), it became possible to test the expression of heterologous genes containing coding regions for signal peptide sequences in yeast.

Fig. 1 shows the signal sequences for five different interferons. These amino-terminal sequences are believed to facilitate the secretion of interferon proteins from mammalian cells. During the process the signal sequence is removed by specific protease cleavage, between position -1 and +1 to give what is known as the mature form of the interferon. These sequences have general characteristics of other secretion signal sequences (31). They all are very hydrophobic, about the same length as other signals, and have a small amino acid to the left of the cleavage site. Although preLeIFA,

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preLeIFD, and PreIFN- $\gamma$  all cleave between glycine and cysteine this is not a general rule for other mammalian signals as evidenced by preIFN- $\beta$ .

Indeed there is no consensus signal sequence among  
5 organisms or within the same organism. There is also no consensus sequence at the cleavage point between the signal sequence and the mature form of the protein product.

Therefore, it was of great interest to attempt the expression of these pre-interferons in yeast to determine  
10 whether these heterologous proteins would be secreted from yeast and what the nature of processing of these proteins might be. Although yeast is a eukaryotic organism like mammalian cells and although yeast appears to have a secretion pathway similar in many respects to higher eukaryotes (1,32),  
15 it is a very primitive eukaryote and the proper function and processing of these gene products would require a great conservation of process between yeast and mammalian cells during evolution, the results of which are not at all apparent.

#### 20 Construction of a yeast plasmid for expression of the pre-interferon genes

Information for the construction of a plasmid for the expression of a heterologous gene in yeast have been published (14).

25 The plasmid used in this work is shown in Fig. 2. This plasmid contains a portion of pBR322 (33) with the ampicillin resistance ( $Ap^R$ ) gene and the E. coli origin of replication for selection and stable growth in E. coli (used as an intermediate between in vitro construction and transformation  
30 of yeast). The plasmid also contains the TRP1 gene on an EcoRI to PstI fragment which originates from chromosome III of yeast (34-36). This gene allows for selection in trp1<sup>-</sup>

yeast and thus can be used to isolate yeast cells containing the plasmid and for maintenance of the plasmid. Furthermore the plasmid contains a yeast origin of replication on a 2.0 kbp fragment from endogenous yeast 2 $\mu$  plasmid DNA (37). This  
5 origin allows the DNA to replicate autonomously in yeast and be maintained as a plasmid.

The other main component of the system is the yeast 3-phosphoglycerate kinase (PGK) promoter fragment which originates transcription near the only EcoRI site in the  
10 plasmid (the other EcoRI site was removed by filling in the restricted site using E. coli DNA polymerase I (Klenow) followed by the blunt end ligation).

The isolation of the 3-phosphoglycerate kinase (PGK) gene has been discussed elsewhere (37a) as well as the construction  
15 of the 1.6 kbp promoter fragment (infra). A Hind III/Bgl II fragment from the yeast TRP 1 gene region (34-36) of chromosome III was used as a convertor of Hind III to Bgl II for ligation with the Bam HI site of pBR322, making the plasmid tetracycline sensitive (Tc<sup>S</sup>). Furthermore, it  
20 should be mentioned that the 2.0 kbp fragment from 2 $\mu$  DNA performs another function in that it contains a transcription termination/polyadenylation signal which is normally the termination signal for the "Able" gene in 2 $\mu$  plasmid (37). Such a region appears to be essential for good expression.  
25 Gene inserts as EcoRI fragments in the right orientation can then be expressed as protein when the vector is put into yeast.

#### Construction of yeast plasmids for the expression of the various interferon genes

The yeast expression plasmid YEpIPT contains a single  
30 EcoRI restriction site. Insertion of a foreign gene into this unique EcoRI site leads to the expression of this gene under

the control of the yeast phosphoglycerate kinase (PGK) promoter. A convenient way to insert the various interferon genes into the EcoRI site of this yeast plasmid is to have DNA fragments with EcoRI sites flanking the initiation codon and  
5 the termination codon of the various interferon genes. We describe here the construction of such EcoRI fragments for the expression of interferon genes. It is important to use converters to EcoRI at the 3'-end of the gene so they do not terminate transcription but allow transcription through to the 102 $\mu$  terminator.

We described previously the construction of an EcoRI site just preceding the ATG initiation codon for the mature IFN- $\alpha$ 1 gene (14,17), the mature IFN- $\alpha$ 2 gene (7), the mature IFN- $\beta$  gene (10), and the mature IFN- $\gamma$  gene (30).

15 DNA restriction analysis shows there is an EcoRI site conveniently located in the 3'-noncoding region of the cDNA clone of IFN- $\alpha$ 1 (8), thus digestion of the plasmid pLeIFD3 (17) with EcoRI generates a 583 bp EcoRI fragment, which contains the entire IFN- $\alpha$ 1 gene.

20 Figure 3 shows the construction of a EcoRI fragment containing the pre-IFN- $\alpha$ 1 gene. A HaeIII site is located between the first and second amino acid of pre-IFN- $\alpha$ 1 (8). A partially HaeIII digested 254 bp fragment that extends from this HaeIII site to the BglII site in the middle of the coding  
25 region was isolated. A self-complementary synthetic oligonucleotide 5'-CCATGAATTCATGG-3', was blunt-end ligated to the 254 bp HaeIII-BglII fragment in order to generate an EcoRI site preceding the ATG initiation codon. A 264 bp EcoRI-BglII fragment was then isolated by digesting the ligation mixture  
30 with EcoRI and BglII. This EcoRI-BglII fragment, which contains the front half of the preIFN- $\alpha$ 1 gene, was then ligated to the back half of the pre-IFN- $\alpha$ 1 gene, a 372 bp

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BglII-EcoRI fragment isolated from digestion of the IFN- $\alpha$ 1 cDNA clone with BglII and EcoRI. The EcoRI fragment containing the entire pre-IFN- $\alpha$ 1 gene was then generated by digesting the ligation mixture with EcoRI and isolating a 636  
5 bp fragment.

Figure 4 shows the construction of an EcoRI fragment containing the mature IFN- $\alpha$ 2 gene. A 876 bp EcoRI-PstI fragment that contains the mature IFN- $\alpha$ 2 gene with an EcoRI site just preceding the ATG initiation codon and with 400 bp  
10 of 3'-noncoding region derived from the cDNA clone was isolated by digesting the plasmid pLeIFA25 (7) with EcoRI and PstI. The PstI end of this 876 bp fragment was then converted to an EcoRI site by using an adaptor fragment. This 978 bp adaptor fragment contains an internal EcoRI site and has PstI  
15 sites on both ends. 230 bp of this fragment that extends from one PstI end to the EcoRI site derives from the yeast plasmid 2 $\mu$  DNA (37). 748 bp of the rest of the fragment that extends from the EcoRI site to the other PstI end derives from the bacterial plasmid pBR322 (the entire fragment being derived  
20 from YEpl3 (37b)). Ligation of this adaptor fragment to the 876 bp EcoRI-PstI fragment containing the mature IFN- $\alpha$ 2 gene followed by subsequent digestion with EcoRI generates a 1096 bp fragment containing the mature IFN- $\alpha$ 2 gene with EcoRI sites on both ends.

25 Figure 5 shows the construction of an EcoRI fragment containing the pre-IFN- $\alpha$ 2 gene. Restriction analysis shows a common DdeI site present between the 14th and the 15th amino acid in the presequence of both pre-IFN- $\alpha$ 1 and  $\alpha$ 2 genes. Ligation of the 44 bp EcoRI-DdeI fragment derived from the  
30 EcoRI fragment coding the pre-IFN- $\alpha$ 1 gene and the 900 bp DdeI-PstI fragment derived from the cDNA clone of IFN- $\alpha$ 2 followed by subsequent digestion with EcoRI and PstI generates

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a 944 fragment. This 944 bp EcoRI-PstI fragment contains the coding sequence of the first 14 amino acids in the prepeptide derived from IFN- $\alpha$ 1, the coding sequence of the rest of the prepeptide, and the entire mature protein derived from IFN- $\alpha$ 2. The PstI end of this 944 bp fragment was then converted to an EcoRI site using the adaptor fragment as in the case of the construction of an EcoRI fragment for mature IFN- $\alpha$ 2.

Figure 6 shows the construction of an EcoRI fragment containing the pre-IFN- $\gamma$  gene with 70 bp of leader sequence preceding the initiation ATG codon. Digestion of the IFN- $\gamma$  cDNA clone (30) generates a 842 bp Sau3A fragment with 60 bp of 5'-flanking sequence, the entire coding sequence of pre-IFN- $\gamma$ , and 290 bp of 3'-noncoding sequence. This Sau3A fragment was then cloned into a BamHI digested vector pUC7, a plasmid counterpart of the single-stranded phage M13mp<sup>7</sup> (38). The plasmid pUC7 was derived from pBR322 by first removing the 2,067 base-pair EcoRI-PvuII fragment containing the tetracycline resistance gene, then inserting a 425 base-pair HaeII fragment from the phage M13 derivative mp7 (38) into the HaeII site of the resulting plasmid at position 2352 (relative to the pBR322 notation). The HaeII fragment from mp7 contains the N-terminal coding region of the E. coli lacZ gene in which a multi-restriction enzyme cloning site of the sequence has been inserted between the 4th and 5th amino acid residues of  $\beta$ -galactosidase. Insertion of a foreign DNA fragment into these cloning sites disrupts the continuity between the lac promoter and lacZ gene, thus altering the phenotype of a JM83 transformed with the plasmid from lac<sup>+</sup> to lac<sup>-</sup>. As there are two EcoRI sites flanking the BamHI sites in the multi-restriction cloning site of pUC7, digesting the plasmid containing the 842 bp Sau3A insert with EcoRI

would give an 862 bp fragment containing the entire pre-IFN- $\gamma$  sequence flanked by EcoRI sites.

Digestion of the plasmid pIFN- $\gamma$ trp48 (30) with EcoRI and BglI generates a 689 bp fragment with an EcoRI end preceding the ATG initiation codon, the entire coding sequence of mature IFN- $\gamma$ , and 210 bp of noncoding sequence. The BglI end was then converted to an EcoRI end by ligation to a 29 bp BglI-EcoRI adaptor derived from the pre-IFN- $\gamma$  EcoRI fragment described previously. Subsequent digestion of the ligation mixture with EcoRI would then generate a 718 bp EcoRI fragment containing the mature IFN- $\gamma$  gene.

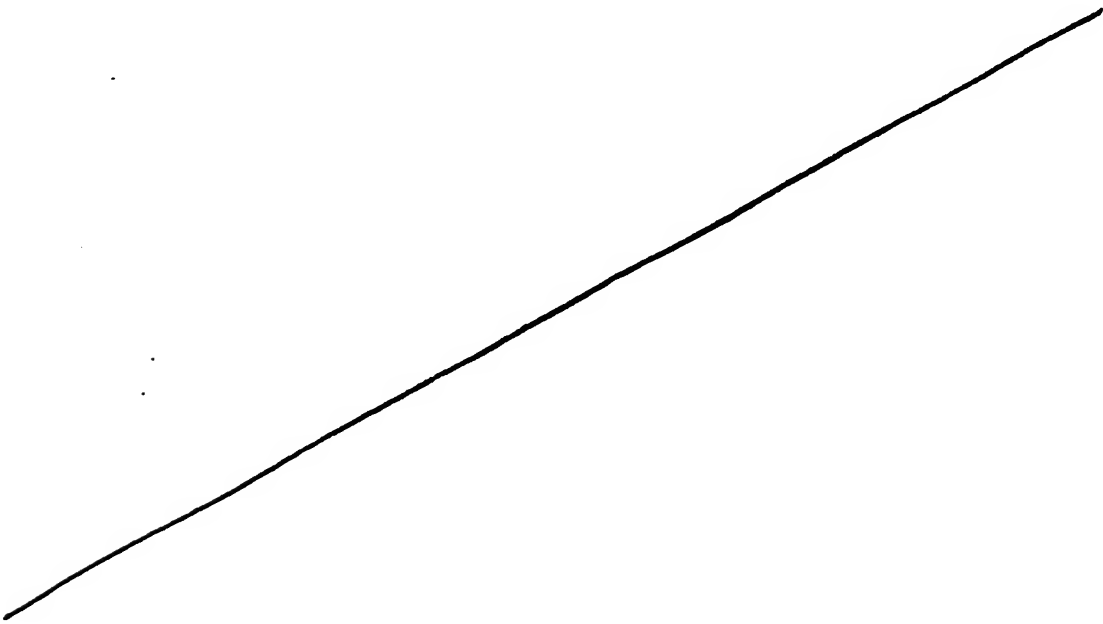
Figure 7 shows the construction of an EcoRI fragment containing the pre-IFN- $\gamma$  gene with an EcoRI end and the sequence AAA preceding the ATG initiation codon. The EcoRI fragment containing the pre-IFN- $\gamma$  gene described previously was digested with EcoRI and MboII to generate a 184 bp fragment. This fragment, containing the front part of the pre-IFN- $\gamma$  gene, was heat denatured and annealed (10) to a 5'-kinased synthetic oligonucleotide 5'-pATGAAATATACA coding for the first four amino acids of the pre-IFN- $\gamma$  gene. With the bottom strand of the EcoRI-MboII fragment, the template, and the oligonucleotide as the primer, Klenow fragment of E. coli DNA polymerase was used to synthesize the top strand and to remove the 3'-protruding end from the bottom strand. The resulting 178 bp blunt-ended fragment, which extends from the ATG initiation codon to first MboII site downstream, was then ligated to a self-complementary 5'-TTTGAATTCAAA-3' EcoRI linker. Digestion of the ligation mixture with EcoRI and DdeI generates a 165 bp EcoRI-DdeI fragment which contains the front part of the pre-IFN- $\gamma$  gene. The entire gene was then assembled by ligating the 600 bp DdeI-EcoRI fragment that contains the back portion of the IFN- $\gamma$  gene and the

3'-noncoding region. The ligation mixture was then digested with EcoRI to generate a 765 bp EcoRI fragment containing the entire pre-IFN- $\gamma$  gene.

A summary of all these EcoRI fragment constructions (I 5 thru IX) is shown in Fig. 8. All these constructions were placed in the vector YEpIPT (Fig. 2) for expression and secretion experiments using yeast.

Expression and secretion levels of interferons by yeast containing these plasmids

10 After the EcoRI-fragment genes from Fig. 8 were put into YEpIPT (Fig. 2), checked for orientation, and put into yeast, using Trp<sup>+</sup> complementation, the yeast containing those plasmids were assayed for interferon using the cytopathic effect (CPE) assay (26) (bioassay) for extracts, released cell 15 wall material, and media. Interferon assays were done on three distinct compartmental locations in the yeast culture. The results of such assays are shown in Table 1.





Interferon Expression Levels

Gene Construction No.	YEPlPT plasmid containing these ECORI fragments	Yeast	Inside <sup>a</sup> cell U/I/Abs660-1	Pct. cell protein	after cell wall removal <sup>c</sup> (U/I/Abs660)	Pct. cell protein	Outside cell (media) U/I/Abs660	Pct. cell protein	Final <sup>d</sup> Abs660	Pct. of activity secreted <sup>e</sup>
I	LeIF D	GH3C-2	130x10 <sup>6</sup>	1.0	0	0	0	0	1.0	0
II	pre LeIF D	GH3C-2	27x10 <sup>6</sup>	0.3	0.4x10 <sup>6</sup>	.004	0.8x10 <sup>6</sup>	.008	1.4	4
III	LeIF A	pep4-3	130x10 <sup>6</sup>	1.0	0	0	0	0	1.0	0
IV	(pre D/A) LeIF A	pep4-3	19x10 <sup>6</sup>	0.2	0.5x10 <sup>6</sup>	.005	0.5x10 <sup>6</sup>	.005	1.0	6
IV	(pre D/A) LeIF A	pep4-3	25x10 <sup>6</sup>	0.3	N.D.	---	2x10 <sup>6</sup>	.007	3-4	8
IV	(pre D/A) LeIF A	GH3C-2	28x10 <sup>6</sup>	0.3	0.3x10 <sup>6</sup>	.003	0.5x10 <sup>6</sup>	.005	1.3	3
V	IFN-γ	pep4-3	0.6x10 <sup>6</sup>	N.D.	N.D.	---	0	0	1.0	0
VI	pre IFN-γ + cDNA									1
VI	5' flanking sequence	pep4-3	0.2x10 <sup>6</sup>	N.D.	N.D.	---	.03x10 <sup>6</sup>	N.D.	1.2	15
VI	pre IFN-γ + cDNA									24
VII	5' flanking sequence	GH3C-2	0.38x10 <sup>6</sup>	N.D.	N.D.	---	.06x10 <sup>6</sup>	N.D.	0.93	16
VII	pre IFN-γ	pep4-3	0.9x10 <sup>6</sup>	N.D.	N.D.	---	.19x10 <sup>6</sup>	N.D.	1.0	17
VII	pre IFN-γ	GH3C-2	1.9x10 <sup>6</sup>	N.D.	N.D.	---	.19x10 <sup>6</sup>	N.D.	0.93	10

- a) See Methods for extract preparation. Note that two methods are used for extracts. When cells are spheroplasted the "inside cell" amount is really inside material; however, when N.D. (not determined) is specified the "inside cell" amount and the "released after cell wall removal" are both part of "inside cell" amount--this type of extract involves glass beading cells without cell wall removal. Note that glass bead extracts without spheroplasting were always done for IFN-γ and preIFN-γ and that PBS buffer was used instead of 7M GNC1.
- b) The specific activities of LeIFA and LeIFD are both assumed to be 10<sup>8</sup> U/mg protein for the calculations. A yeast culture contains about 100 mg of protein in the culture at an Abs660 = 1.
- c) See Methods for spheroplasting procedure.
- d) Abs of culture at which assay done.
- e) The percent secretion is the percent "released after cell wall removal" plus the percent "outside cell". When spheroplasting was not done the "percent of activity secreted" does not include this cell wall secretion activity and the percent is lower (maybe 1/2) than it actually should be.

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The first compartment is inside the cell. This fraction is measured by making a cell extract after the cell wall is removed and defines interferon activity that is not secreted. The other two compartments are the media (material completely separate from yeast cell) and the activity released from the cells after cell wall removal using zymolyase (secreted material but trapped noncovalently in cell wall). Both the media fraction and fraction released after cell wall removal represent the total secreted material. Alternatively when cell walls were not removed (see Methods), inside the cell activity also includes the secreted activity stuck in the cell wall.

It should be noted on Table 1 that (pre D/A) LeIFA is a mature LeIFA gene with a hybrid signal peptide sequence (see Figs. 1 and 8). This construction has been previously discussed; but in review it was constructed by using the DdeI restriction site common to both preLeIFD and preLeIFA. Fig. 1 shows this DdeI site at amino acid -10. The underlined amino acids represent differences between the amino acid sequence of preLeIFD and preLeIFA. The hybrid (pre D/A) LeIFA is more like preD than preA.

Both mature LeIFA and LeIFD genes (constructions I and III) are expressed in the yeast at levels of 1.0 percent of the total cellular protein (levels of 2.0 percent have also been seen). The wrong orientations of these genes or the pre-genes do not express. For these two genes as well as the mature IFN- $\alpha$  gene, no secretion occurs.

However, when presequences are used on these genes, all three protein products are found in the media as secreted products. Levels as high as 8 percent of the total activity have been observed in the media of (pre D/A) LeIFA at an  $Abs_{660}=3-4$ . It should be noted that all these plasmids are in 95 percent

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of the cells of a culture growing under  $\text{Trp}^+$  selective pressure attesting to the presence of an autonomous replicating plasmid.

Growth Curve and Production in the Media from a Yeast

5 Containing the (pre D/A) LeIFA Gene

Two interferon-producing yeast were investigated by further characterization. These were YEplPT-preLeIFA<sub>53t</sub>/pep4-3 and YEplPT-LeIFA<sub>1</sub>/pep4-3. The former contains two copies of construction IV (Fig. 8) in the  
10 EcoRI site of YEplPT and results in activity being inside the cell, in the cell wall, and outside the cell (media). This two copy gene (in tandem - both in orientation for proper expression) containing plasmid was used instead of the single  
15 copy construction since it sometimes gave higher levels of interferon activity in the media. Pep 4-3 yeast was used since it has greatly reduced intracellular and extracellular protease levels (23), which might be an advantage for obtaining undegraded protein (interferon) from the media.  
20 The latter contains construction III (Fig. 8) in YEplPT and expresses mature LeIFA inside the cell but does not secrete.

Fig. 9 illustrates a growth curve of these two yeast  
25 strains in YNB+CAA ( $\text{Trp}^+$  selective growth). Log phase continues to an  $\text{Abs}_{660\text{m}\mu}$  of 3-4 and then stationary phase begins. Both curves are essentially identical (suggesting that the production of these two different proteins [LeIFA and (preD/A) LeIFA] do not affect yeast growth or viability.  
30 Bioassays were done on the media at various times during cell growth, to investigate the growth curve dependence of production of interferon in the media by these two strains. It is evident from these results that the pre-sequence on

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LeIFA is causing a release of interferon activity into the media. Without this sequence essentially no activity is released. It is also evident that levels of activity in the media reach a maximum near stationary phase.

#### 5 Purification of (pre D/A) LeIFA from the media

In order to determine the nature of the secretion process for (pre D/A) LeIFA into yeast media, it was necessary to purify the protein product from the media. If yeast is able to secrete the protein, it probably processes the amino-terminal end in some manner during the secretion process as mammalian cells normally do with a pre-interferon protein. The object of further experiments was to determine the nature of this processing, as follows:

##### A) 5l Fermentation.

15 Fig. 10A shows the interferon activity within the cell wall and in the cell during the same fermentation. These extracts were done by pelleting followed by glass bead treatment in 7M GHCl so this activity contains both intracellular and intracell-wall material. This activity  
20 reached a maximum of about  $25 \times 10^6$  U/l at an  $Abs_{660m\mu}$  of 1.5 to 2.0 suggesting that intracellular interferon production ends in log phase before stationary unlike extracellular material. Thus about 8 percent of the activity is secreted freely into the media. However, again as much activity may be  
25 in the cell wall (see Table 1). The extract material contains mostly intracellular interferon and this material is presently being purified to see if it is processed or unprocessed.

Fig. 10B shows a growth curve for a 5l fermentation of YEpIPT-preLeIFA 53t/pep4-3 in YNB+CAA. At the end of the  
30 fermentation there were about  $2.0 \times 10^6$  U/l of interferon activity by MDBK assays. Again the maximum production is seen

at stationary phase. It should be noted that the interferon product in this media is very stable even with 24h of additional shaking at 30°C after stationary phase is reached. This media was used for further purification steps.

5     8) Media Concentrate onto Immunoaffinity Column. The media from YEplPT-preLeIFA53t/pep4-3 was first ultrafiltered. This concentrate was put on a column containing a monoclonal antibody to mature LeIFA. After washing with 0.2MNaCl in tris/cys/EDTA, pH=8.0, the interferon was eluted with H<sub>2</sub>O  
10 (pH=5.5) as shown in Fig. 11. Eluted peak A (arrow represents when H<sub>2</sub>O applied) was obtained by this procedure and represents 50 percent of the activity put on the column. Peak A was pooled, as shown by bracket and used for further purification. Elution with 0.2M acetic acid resulted in peak  
15B (arrow represents point of buffer change) and position C represents point of application of original wash buffer.

C) HPLC Run of Peak A Activity. Peak A fractions were lyophilized to dryness and then run on HPLC (see Methods). Fig. 12 illustrates the results of this run. A 2.5 µg sample  
20of purified LeIFA (from E. coli) was run separately as a control.

Both the standard and (pre D/A) LeIF A (or preLeIF A) had identical retention times as is evident in the figure. These results show that the interferon from the media is processed,  
25since preLeIF A has a much different retention time. The shaded fraction from this HPLC run was then used for protein sequence.

NH<sub>2</sub>-terminal sequence of (pre D/A) LeIF A Purified from  
Yeast Media.

30     Fig. 13 in part shows the results of sequencing the purified interferon. The upper sequence is that expected for

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(pre D/A) LeIF A if no processing occurs. The normal cleavage point of this interferon that is probably recognized by mammalian cells is shown.

The protein sequence was interpreted by noting which PTH amino acid increased in each corresponding Edman cycle and then decreased in the following cycle. PTH amino acid that normally give low recoveries (cys, ser, thr, arg, his) were assumed when no increase in any other PTH amino acid was seen. The mg amount was estimated by comparing the areas of the interpreted residue with area from a standard mixture of PTH amino acids run on the same HPLC. An internal standard of Nor-Leucine was introduced in each chromatogram to assure that retention times were reproducible.

Fig. 13 shows the protein sequencing results obtained for the purified (pre D/A) LeIF A. The sequence expected if no processing were to occur and the normal cleavage point of this pre-interferon in mammalian cells are also shown. Two independent sequence runs were performed on two different purified samples from cells and media. Figure 13 shows that most of the interferon in the medium was properly processed (64 percent), but another form (36 percent) containing three additional amino acids of pre-sequence was also present. The intracellular interferon also contained these two forms, but in slightly different proportions, as well as a third form containing 8 amino acids of pre-sequence. Full length pre-sequence was never observed suggesting that yeast processes all of the pre-IFN in some manner.

It is possible that the processed form containing three amino acids of pre-sequence resulted from the hybrid nature of the pre D/A signal sequence. Therefore, the processing of the non-hybrid (pre D) LeIF D was also examined. Pre D is different from pre D/A only at amino acid position -2 (leu

versus val). When the processing of pre IFN- $\alpha$ 1 purified from the medium was examined, both the +1 and -3 forms were again observed (Fig. 13). However, a minor species was also present in the medium as a -14 form, which was not seen for (pre D/A)

5 LeIF A.

To investigate the secretion of heterologous proteins from S. cerevisiae, we have constructed plasmids for in vivo transcription of the genes for several mature interferons (IFNs) and several pre IFNs. Whenever the coding sequences  
10 for hydrophobic signal peptides were present, IFN antiviral activity could be recovered both from the host cells and from the culture medium, while all of the IFN whose synthesis was directed by mature IFN genes remained inside the cells. We have attempted to characterize the requirements for secretion  
15 by undertaking constructions in which the interferon gene would be provided with its own natural signal sequences, as in (pre D) LeIF D, or would be provided with a hybrid signal sequence designed as a composite of two IFN- $\alpha$  species, as in (pre D/A) LeIF A. While, in general, the yeast cells which  
20 harbored these constructions secreted IFN into the culture medium, the amount of activity differed between strains, and the IFN species purified and sequenced also differed.

Three forms of non-secreted interferon, constituting 90 percent of the total interferon expressed, were purified from  
25 cells harboring the (pre D/A) LeIF A gene. One form (33 percent) was properly processed (+1, Fig. 13), a second form (55 percent) contained 3 additional amino acids (-3, Fig. 13), and a third form (11 percent) contained 8 additional amino acids (-8). The last form was not seen in medium, while IFN  
30 with a full length pre-sequence was never observed in the cells or media.

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Processing of (pre D) LeIF D.

Instead of the shake flask growth used for (pre D/A) LeIF A yeast, (pre D) LeIF D expressing yeast were grown in a 10 liter fermenter to an  $\bar{A}_{550} = 60$ .

- 5        When the processing of (pre D) LeIF D purified from the medium (purification same as for pre D/A LeIF A) was examined, both the +1 and -3 forms were observed (Fig. 13). An additional, minor species was also present in the medium as a -14 form. There was no evidence of cell lysis occurring in
- 10       the culture as examined by SDS gel electrophoresis of medium protein versus cellular proteins. Interestingly, at this high growth density, a very high percentage secretion (30 percent) into the medium was obtained for LeIF D. High density fermentations of (pre D/A) LeIF A expressing yeast also show
- 15       this higher percentage secretion.

Yeast appear to process both the secreted and nonsecreted interferon. The amount of activity secreted varies depending on the growth stage of the cells, with maximum percentages occurring at stationary phase in shake flasks and at the end

20       of high density fermentations (30 percent in media).

Confirmation of the Composition of the plasmids in YEplPT-preLeIFA53t and YEplPT-LeIFAl Containing Yeast.

- The yeast containing these two plasmids and used for the previous experiments were further checked by retrieval of the
- 25       plasmids from the yeast. This was done by isolation of the plasmid DNA from yeast extract by transformation of E. coli, followed by miniscreen DNA preparation and restriction analysis of the plasmid DNAs. Several E. coli isolated plasmid DNAs were characterized for both types of yeast. In
- 30       all cases the plasmids contained the restriction sequence expected attesting to the presence of the presequence on (pre



O/A) LeIF A containing plasmid and the lack of it on mature LeIF A containing plasmid.

Restriction Map and Partial Sequencing of 3.1 kb

Insert of pB1

- 5        300 µg of pB1 (37a) was exhaustively digested with HindIII in a 500µl reaction volume, then electrophoresed on a 1 percent agarose preparative agarose (Sea Kem) gel. The 3.1 kb HindIII insert was cut from the ethidium stained gel, electroeluted (39), 2x extracted with equal volumes of
- 10 buffer-saturated phenol and chloroform before ethanol precipitation. Portions of the resuspended DNA fragment was divided up and subjected to restriction cuts with a group of different restriction enzymes to yield the partial restriction map depicted in Figure A.
- 15        30 µg of the purified 3.1 kb insert was cut with Sau3A then run on a 6 percent acrylamide gel. Fragments corresponding to the 265 bp and 141 bp were separately purified by electroelution as described above. Each DNA fragment was then subjected to DNA sequence analysis (39).
- 20        A portion of this DNA sequence is shown in Figure B. Amino acids corresponding to the N-terminal amino acids of the PGK structural gene are printed above the DNA sequence.

Insertion of a Restriction Site in the PGK 5' Promoter Region

- A synthetic oligonucleotide with the sequence
- 25 5'ATTGTGTGTAAG3' was synthesized by standard methods (40). 100 ng of this primer was labeled at the 5' end using 10 units of T4 polynucleotide kinase in a 20 µl reaction also containing 200 µCi of [ $\gamma$ <sup>32</sup>-P] ATP. This labeled primer solution was used in a primer-repair reaction designed to be
- 30 the first step in a multi-step process to put an EcoRI

restriction site in the PGK 5'-flanking DNA just preceeding PGK structure gene sequence. The multistep process is explained below:

Step 1 (Figure C)

- 5 Primer repair reactions and cloning of 39bp XbaI-to-Sau3A PGK piece

100  $\mu$ g of pB1 was completely digested with HaeIII then run on a 6 percent polyacrylamide gel. The uppermost band on the ethidium stained gel (containing PGK promoter region) was isolated by electroelution as described above. This 1200 bp HaeIII piece of DNA was restricted with HindII then run on a 6 percent acrylamide gel. The 650 bp band was isolated by electroelution. 5  $\mu$ g of DNA was isolated. This 650 bp HaeIII-to-HindII piece of DNA was resuspended in 20  $\mu$ l 15 dH<sub>2</sub>O, then mixed with the 20  $\mu$ l of the phosphorylated primer solution described above. This mixture was 1X phenol-chloroform extracted then ethanol precipitated. Dried DNA was resuspended in 50  $\mu$ l of H<sub>2</sub>O and then heated in a boiling water bath for seven minutes. This solution was then quickly chilled in a dry ice-ethanol bath (10-20 seconds) then transferred to an ice-water bath. To this solution was added 50  $\mu$ l of a solution containing 10  $\mu$ l of 10X DNA polymerase I buffer (Boehringer Mannheim), 10  $\mu$ l of a solution previously made 2.5mM in each deoxynucleoside triphosphate (dATP, dTTP, 25 dGTP and dCTP), 25  $\mu$ l of dH<sub>2</sub>O and 5 units of DNA Polymerase I, Klenow fragment. This 100  $\mu$ l reaction was incubated at 37°C for 4 hours. The solution was then 1X phenol-chloroform extracted, ethanol precipitated, dried by lyophilization then exhaustively restricted with 10 units of Sau3A. This solution 30 was then run on a 6 percent acrylamide gel. The band corresponding to 39 bp in size was cut from the gel then

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isolated by electroelution described above. This 39 bp band has one blunt end and one Sau3A sticky end. This fragment was cloned into a modified, pFIFtrp69 vector (10). 10  $\mu$ g of pFIFtrp69 was linearized with XbaI, 1X phenol chloroform extracted, then ethanol precipitated. The XbaI sticky end was filled in using DNA Polymerase I Klenow fragment in a 50  $\mu$ l reaction containing 250  $\mu$ M in each nucleoside triphosphate. This DNA was cut with BamHI then run on a 6 percent acrylamide gel. The vector fragment was isolated from the gel by electroelution then resuspended in 20  $\mu$ l dH<sub>2</sub>O. 20 ng of this vector was ligated with 20 ng of the 39bp fragment synthesized above for 4 hours at room temperature. One-fifth of the ligation mix was used to transform E. coli strain 294 to ampicillin resistance (on LB +20  $\mu$ g/ml amp plates).

Plasmids from the transformants were examined by a quick screen procedure (20). One plasmid, pPGK-39 (Figure C) was selected for sequence analysis. 20  $\mu$ g of this plasmid was digested with XbaI, ethanol precipitated then treated with 1000 units of bacterial alkaline phosphase at 68°C for 45 min. The DNA was 3X phenol-chloroform extracted, then ethanol precipitated. The dephosphorylated ends were then labeled in a 20  $\mu$ l reaction containing 200  $\mu$ Ci of [ $\gamma$ -<sup>32</sup>P] ATP and 10 units of T<sub>4</sub> polynucleotide kinase. The plasmid was cut with SalI and run on a 6 percent acrylamide gel.

The labeled insert band was isolated from the gel and sequenced by the chemical degradation method (39). The DNA sequence at the 3'-end of this promoter piece was as expected.

#### Step 2 (Figure D)

##### Construction of 312 bp PvuI-to-EcoRI PGK Promoter Fragment

25  $\mu$ g of pPGK-39 (Fig. C) was simultaneously digested with SalI and XbaI (5 units each) then electrophoresed on a 6

- 35 -

percent gel. The 390 bp band containing the 39 bp promoter piece was isolated by electroelution. The resuspended DNA was restricted with Sau3A then electrophoresed on an 8 percent acrylamide gel. The 39 bp PGK promoter band was isolated by electroelution. This DNA contained 39 bp of the 5' end of the PGK promoter on a Sau3A-to-XbaI fragment.

25 µg of pBl was restricted with PvuI and KpnI then electrophoresed on a 6 percent gel. The .8 kbp band of DNA was isolated by electroelution, then restricted with Sau3A and electrophoresis on a 6 percent gel. The 265 bp band from the PGK promoter (Figure A) was isolated by electroelution.

This DNA was then ligated with the 39 bp promoter fragment from above for two hours at room temperature. The ligation mix was restricted with XbaI and PvuI then electrophoresed on a 6 percent acrylamide gel. The 312 bp Xba-to-PvuI restriction fragment was isolated by electroelution, then added to a ligation mix containing 200 ng of pBR322(33) [previously isolated missing the 162 PvuI-to-PstI restriction fragment] and 200 ng of the XbaI-to-pst I LeIFA cDNA gene previously isolated from 20 µg of pLEIFtrpA. This 3-factor-ligation mix was used to transform E. coli strain 294 to tetracycline resistance. Transformant colonies were miniscreened and one of the colonies, pPGK-300 was isolated as having 304 bp of PGK 5'-flanking DNA fused to the LeIFA gene in a pBR322 based vector. The 5' end of the LeIFA gene has the following sequence: 5'-CTAGAAATTC 3', thus fusion of the XbaI site from the PGK promoter fragment into this sequence allows for the addition to the XbaI site an EcoRI site. pPGK-300 thus contains part of the PGK promoter isolated in a PvuI-to-EcoRI fragment.

Step 4Construction of a 1500 bp EcoRI-to-EcoRI PGK Promoter Fragment

10.  $\mu$ g of pB1 was digested with PvuI and EcoRI and run on a 6 percent acrylamide gel. The 1.3 kb PvuI-to-EcoRI DNA band from the PGK 5'-flanking DNA was isolated by electroelution.

10  $\mu$ g of pPGK-300 was digested with EcoRI and PvuI and the 312 bp promoter fragment was isolated by electroelution after electrophoresing the digestion mix on a 6 percent gel. 5  $\mu$ g of pFRL4 was cut with EcoRI, ethanol precipitated then treated with bacterial alkaline phosphatase at 68° for 45 minutes.

After 3X phenol/chloroform treating the DNA, ethanol precipitation, and resuspension in 20 ml of dH<sub>2</sub>O; 200 ng of the vector was ligated with 100 ng of 312 bp EcoRI-to-PvuI DNA from pPGK-300 and 100 ng of EcoRI-to-PvuI DNA from pB1. The ligation mix was used to transform E. coli strain 294 to ampicillin resistance. From one of the Ap<sup>R</sup> colonies was obtained pPGK-1500. This plasmid contains the 1500 bp PGK promoter fragment as an EcoRI-to-EcoRI or HindIII-to-EcoRI piece of DNA.

20 Construction of mature HGH (human growth hormone) and preHGH expression plasmids

Constructions A through E of Fig. 14 were constructed in vectors with convenient sites. In construction A, a synthetic DNA was made to duplicate the DNA sequence at the beginning of the preHGH cDNA (42) from the Hpa II site with the formation of an EcoRI in front of the ATG translational start. The HpaII to PstI fragment was obtained from the preHGH cDNA (42) and this fragment with the synthetic DNA was ligated with an EcoRI to PstI vector (pHGH 207-1, with EcoRI to PstI fragment containing part of Ap<sup>R</sup> gene removed and both sites religated after Klenow fill in to remove both sites)

containing from the PstI to SmaI site of the HGH cDNA (43) to obtain Construction A. Construction B was made from a plasmid containing the mature HGH gene which has previously been hooked up for direct expression containing 23 amino acids of synthetic coding sequence at the NH<sub>2</sub>-terminal end (44). A plasmid containing this gene was cut with PvuII in the HGH gene and BamHI in the Tc<sup>R</sup> gene. The large fragment containing most of the gene was then ligated with synthetic DNA that duplicates the end of the HGH gene (TAG) and creates a HindIII site. This HindIII site was ligated with the HindIII/BamHI fragment of pBR322 as the 3rd factor of a 3 factor ligation to obtain a plasmid containing Construction B.

A and B were then combined as shown in Fig. 14 to give Construction C. C was further modified to give D, where the HindIII site was converted to an EcoRI (or EcoRI) site. The EcoRI piece thus obtained was placed in the EcoRI site of the yeast expression plasmid YEpIPT for expression of the preHGH gene in yeast. Construction E was also made using the converter used in D to obtain a mature interferon gene (without the secretion signal sequence) on an EcoRI fragment for expression in YEpIPT.

#### Expression of mature HGH and preHGH in Yeast

The mHGH Construction (E) in YEpIPT was placed into strain pep4-3 trp1 (20B-12) and extracts were prepared using glass beads as described in methods. However, glass beads were added to 10ml of pelleted cells with 0.5ml of 0.1 percent SDS. Dilutions were made in horse serum and RIA assays were done as previously described (44).

By RIA, HGH was expressed as about 0.5 percent of total yeast protein at an A<sub>660</sub> of 1.0 (0.5mg/1/A<sub>660</sub>). No HGH was detected in the medium.

The preHGH Construction (D) in YEpIPT was also put into yeast and cells and medium were assayed for HGH. A lower level of expression was detected in the cell ( $0.08\text{mg/l/A}_{660}$ ) or about 0.08 percent of total yeast protein. Thus, the level is about 1/6 of that of mature HGH expression, which is very similar to the leukocyte interferon results. However, this may not be a fair comparison since the codon usage of mature HGH (44) is different for 23 amino acids than the same amino acids for the preHGH. Such a difference may result in differences in the level of expression of these two products (45). Ten percent or  $8\text{ug/l}/(\text{A}_{660} = 1)$  of the level expressed in the cell was found in the medium of the preHGH expressing yeast. In a 10 l fermentor at an  $\text{A}_{550} = 85$ , again there was about 10 percent secretion with  $1\text{mg/l}$  HGH in the media.

#### The Nature of PreHGH Expression

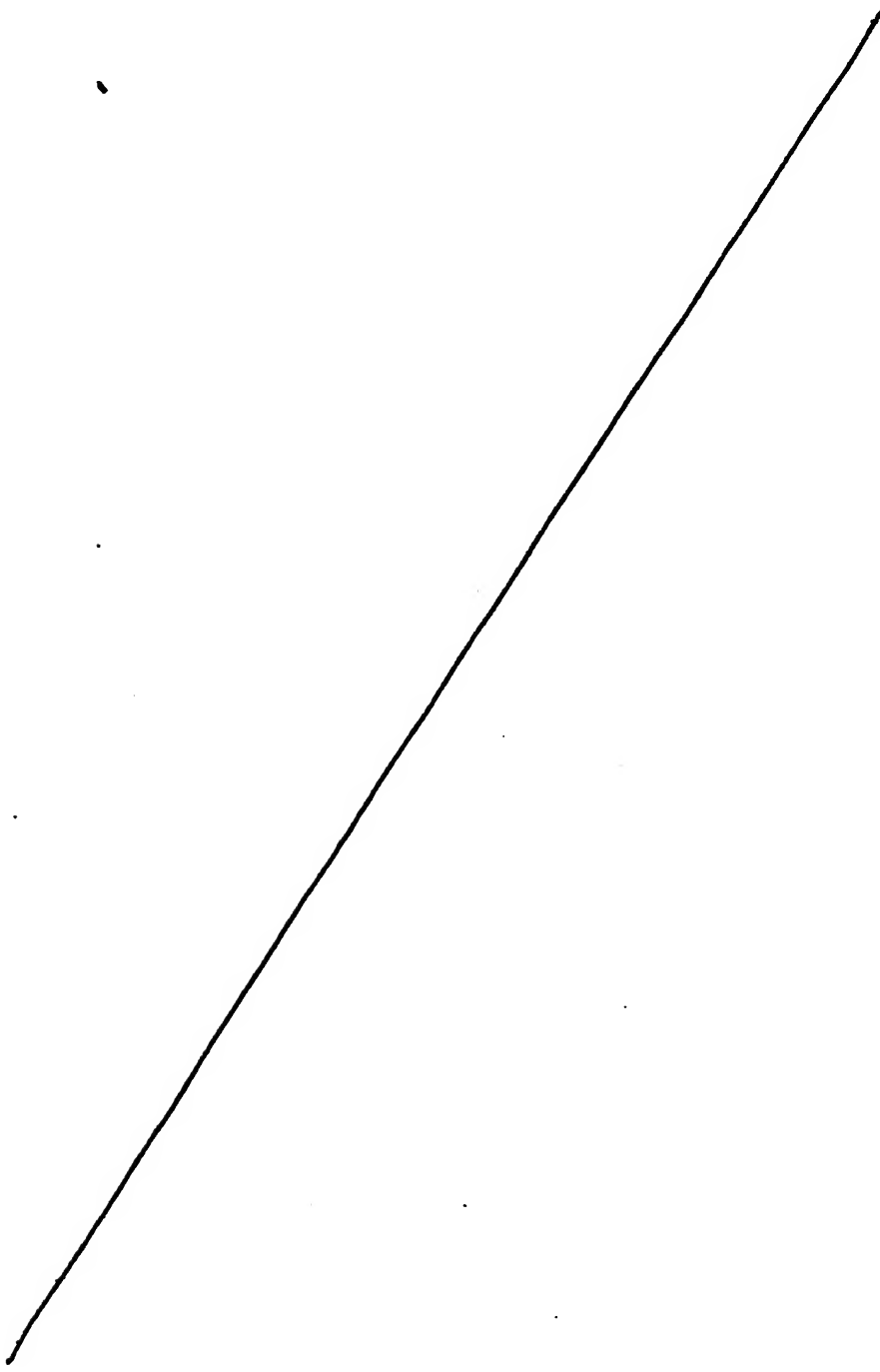
A comparison of HGH protein in the cell versus that outside the cell was made using a Western blotting procedure as described above. The results show medium from a high density fermentation ( $\text{A}_{550} = 85$ ) of preHGH expressing yeast. A single band corresponding to mature HGH size is present. This band corresponds to 1-2 percent of the yeast medium protein.

When this medium HGH was purified by antibody affinity chromatography (Hybritech Ab) and HPLC as used for the interferons,  $\text{NH}_2$ -terminal sequencing showed that nearly 100 percent of the HGH was mature HGH (sequencing was done for 10 residues) as shown in part in Fig. 13. Thus, all of the medium HGH is processed faithfully as is done by human cells.

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Notwithstanding that reference has been made to particular preferred embodiments, it will be further understood that the present invention is not to be construed as limited to such, rather to the lawful scope of the appended claims.





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C L A I M S

1. A product of expression, processing  
and secretion by a yeast organism, which is a protein  
heterologous to the yeast organism, in discrete form  
5 unaccompanied by unwanted polypeptide presequence or  
other artifact of expression.

2. A product according to claim 1 which is  
a human interferon.

3. A product according to claim 2 which is  
10 a human leukocyte interferon.

4. A product according to claim 3 which is  
human leukocyte interferon A or D.

5. A yeast organism cell culture capable  
of producing a protein heterologous to the yeast  
15 organism comprising 1) viable yeast cells transformed  
with an expression vehicle functionally harboring DNA  
encoding said protein together with a heterologous  
signal polypeptide therefor and 2) a medium supporting  
the cell culture, the medium containing said protein  
20 as a product of the yeast organism expression, processing  
and secretion.

6. A cell culture according to claim 5  
wherein the yeast organism is Saccharomyces cerevisiae.

7. A cell culture according to claim 5  
25 or 6 wherein the heterologous signal polypeptide is

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is a hybrid of signal native to said protein and of a second heterologous signal polypeptide.

8. A medium of a yeast organism cell culture as claimed in claim 5, 6 or 7 substantially free from 5 viable or disrupted yeast cells and containing a protein heterologous to the yeast organism as a product of the yeast organism expression, processing and secretion.

9. A yeast organism cell culture capable 10 of exporting into a medium therefor heterologous protein in discrete form.

10. A cell culture according to claim 9 wherein the yeast organism is Saccharomyces cerevisiae.

11. Yeast expression vehicle YEplPT which 15 functionally harbors DNA encoding a protein heterologous to the yeast organism.

12. A vehicle according to claim 11 which additionally harbors DNA encoding a heterologous signal polypeptide in translational reading frame with the 20 DNA encoding said heterologous protein.

13. A vehicle according to claim 12 wherein the heterologous signal polypeptide is a hybrid of signal native to said heterologous protein and of a second heterologous signal polypeptide.

25 14. A yeast expression vehicle which functionally harbors DNA encoding human leukocyte

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DA signal polypeptide in translational reading frame  
with human leukocyte A protein.

15. A process for obtaining protein heterologous  
to a yeast organism as a product of yeast expression,  
processing and secretion which process comprises  
5 transforming a yeast organism with an expression vehicle  
functionally harboring DNA encoding said protein and  
a heterologous signal polypeptide, preparing a culture  
of the transformed organism, growing the culture  
and recovering said protein from the medium of the culture.

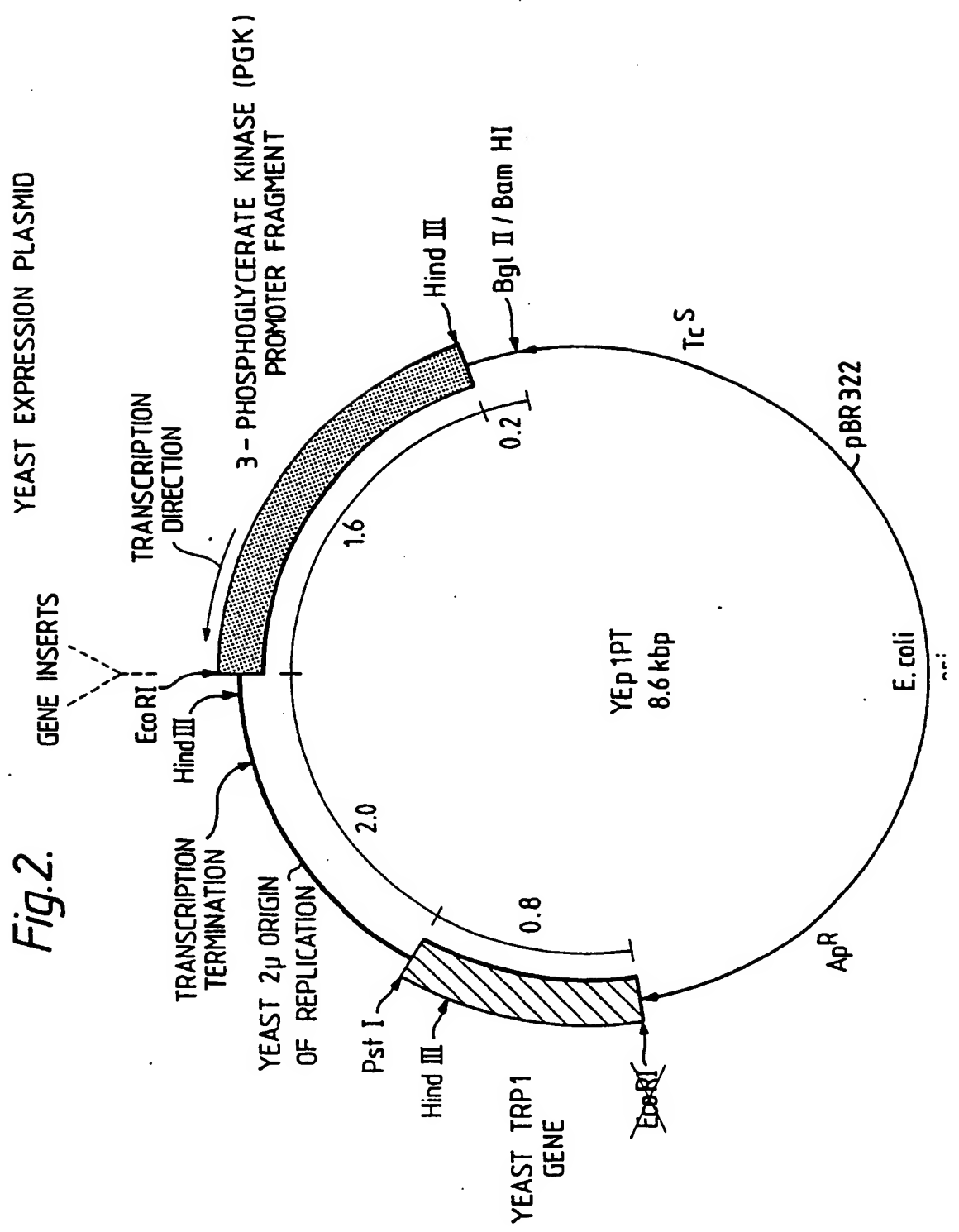
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Fig.1.

## AMINO ACID SEQUENCES OF SECRETION SIGNALS

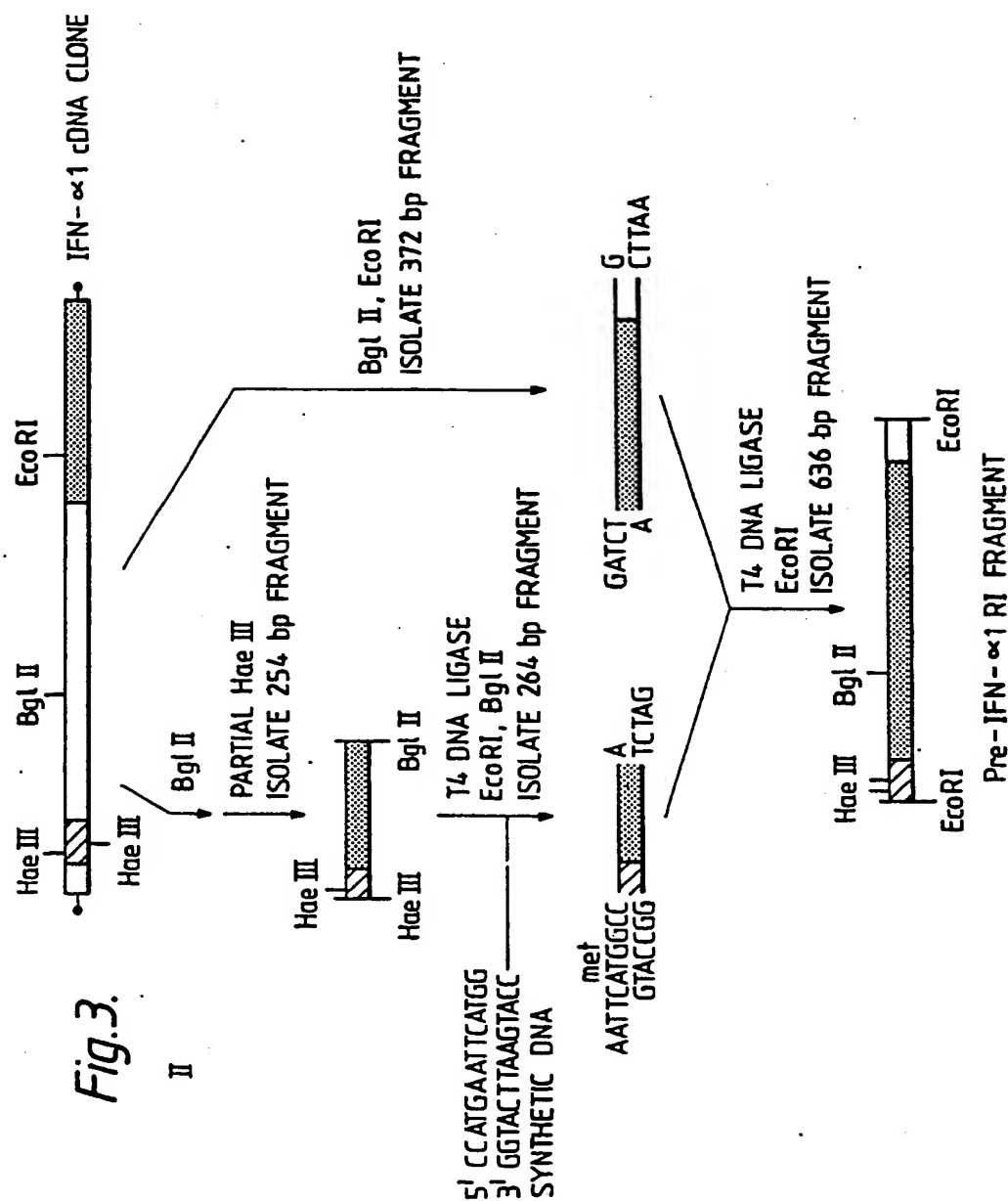
	<u>DdeI</u> SITE IN DNA																							CLEAVAGE SITE		
	-23	-22	-21	-20	-19	-18	-17	-16	-15	-14	-13	-12	-11	-10	-9	-8	-7	-6	-5	-4	-3	-2	-1	+1	+2	
Pre A	Met	Ala	<u>Leu</u>	<u>Thr</u>	Phe	Ala	Leu	Leu	<u>Val</u>	Ala	Leu	<u>Leu</u>	<u>Val</u>	Leu	Ser	Cys	Lys	Ser	Ser	Cys	Ser	<u>Val</u>	<u>Gly</u>	Cys	Asp	
Pre D	Met	Ala	<u>Ser</u>	<u>Pro</u>	Phe	Ala	Leu	Leu	<u>Met</u>	<u>Val</u>	Leu	<u>Val</u>	<u>Val</u>	Leu	Ser	Cys	Lys	Ser	Ser	Cys	Ser	<u>Leu</u>	<u>Gly</u>	Cys	Asp	
Pre D/A	Met	Ala	<u>Ser</u>	<u>Pro</u>	Phe	Ala	Leu	Leu	<u>Met</u>	<u>Val</u>	Leu	<u>Val</u>	<u>Val</u>	Leu	Ser	Cys	Lys	Ser	Ser	Cys	Ser	<u>Val</u>	<u>Gly</u>	Cys	Asp	
Pre Y					Met	Lys	Tyr	Thr	Ser	Tyr	Ile	Leu	Ala	Phe	Gln	Leu	Cys	Ile	Val	Leu	Gly	Ser	Leu	Gly	Cys	Tyr
Pre B					Met	Thr	Asn	Lys	Cys	Leu	Gln	Ile	Ala	Leu	Leu	Leu	Cys	Phe	Ser	Thr	Thr	Ala	Leu	Ser	Met	Ser

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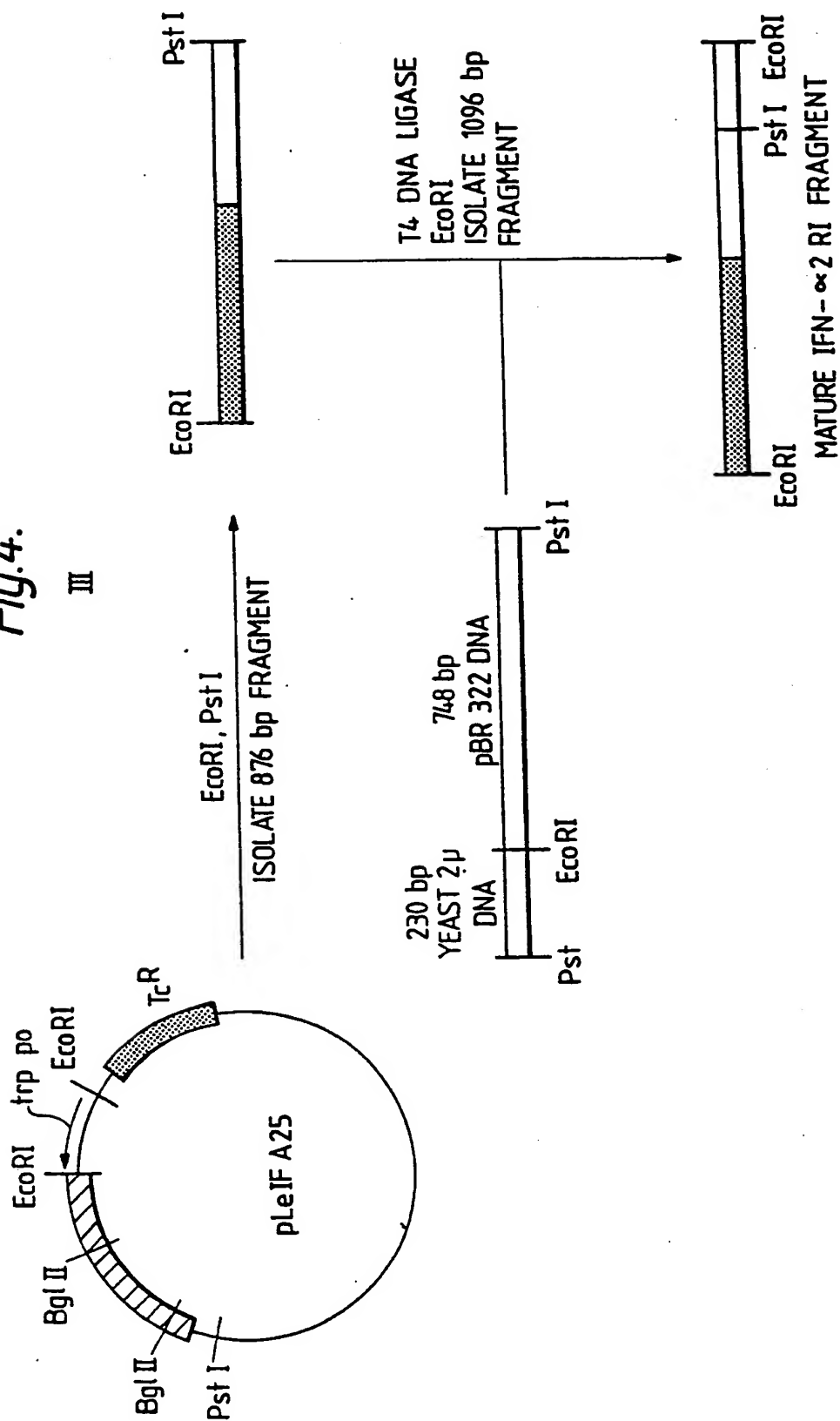


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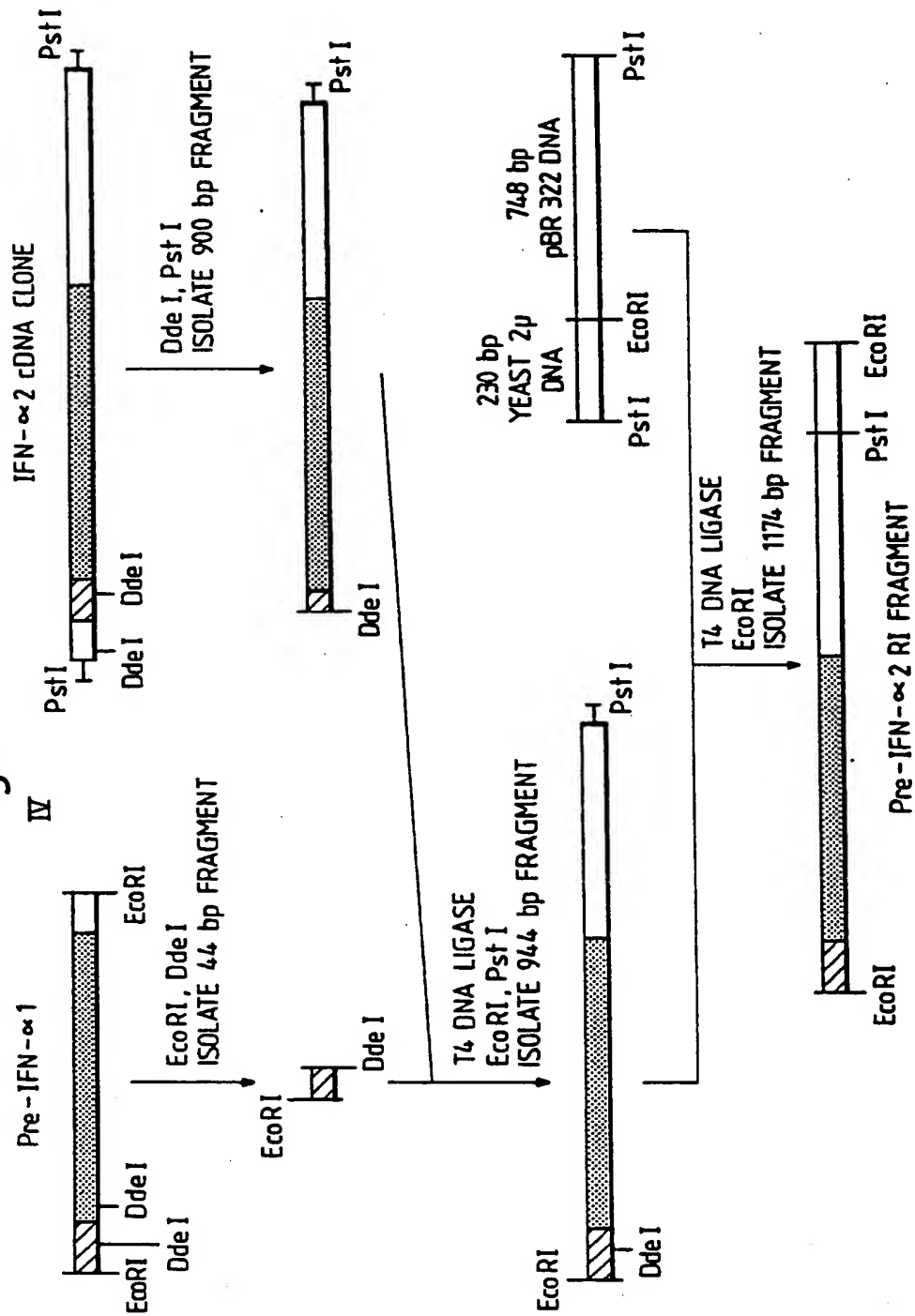
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Fig. 4.

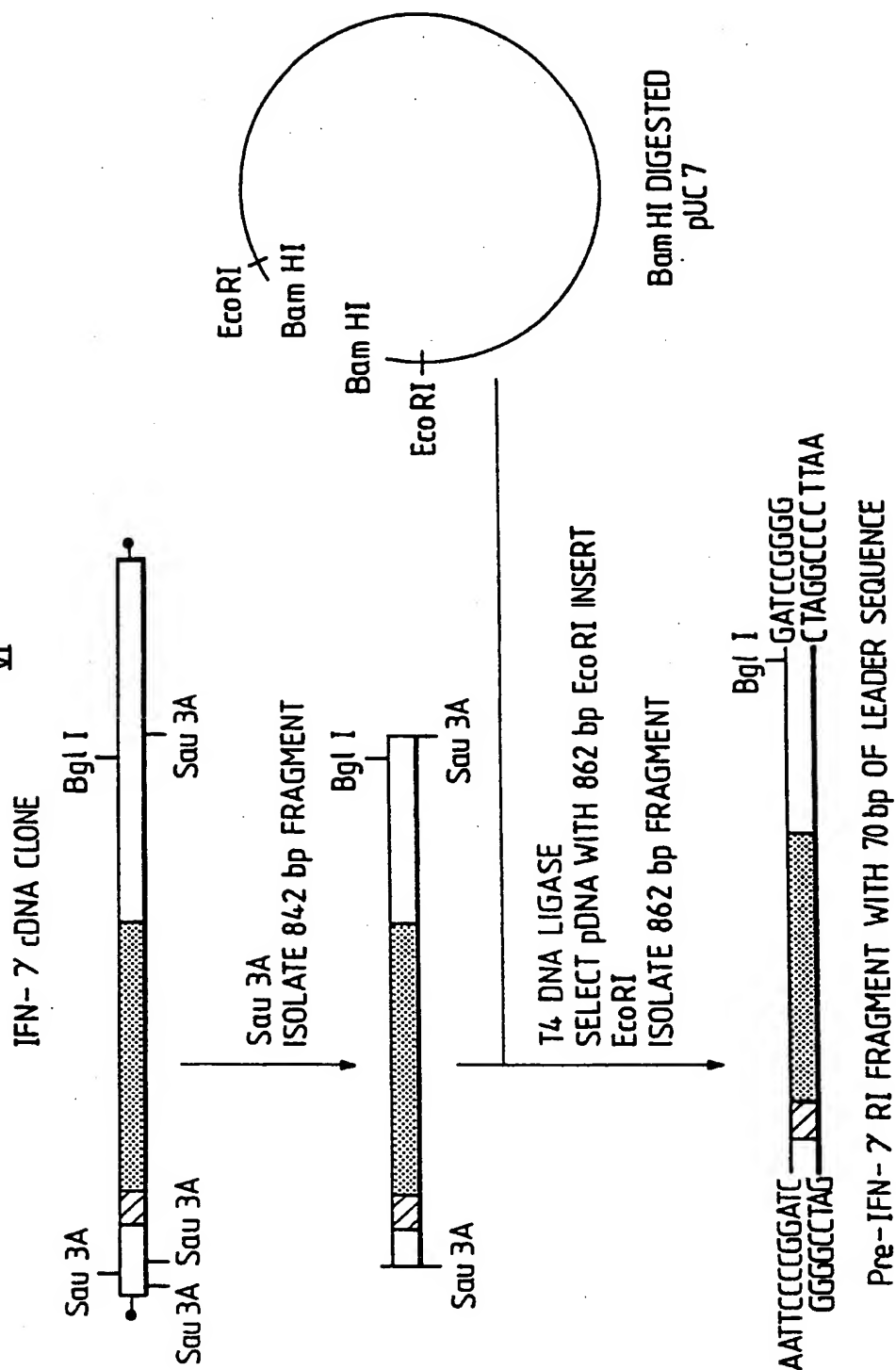


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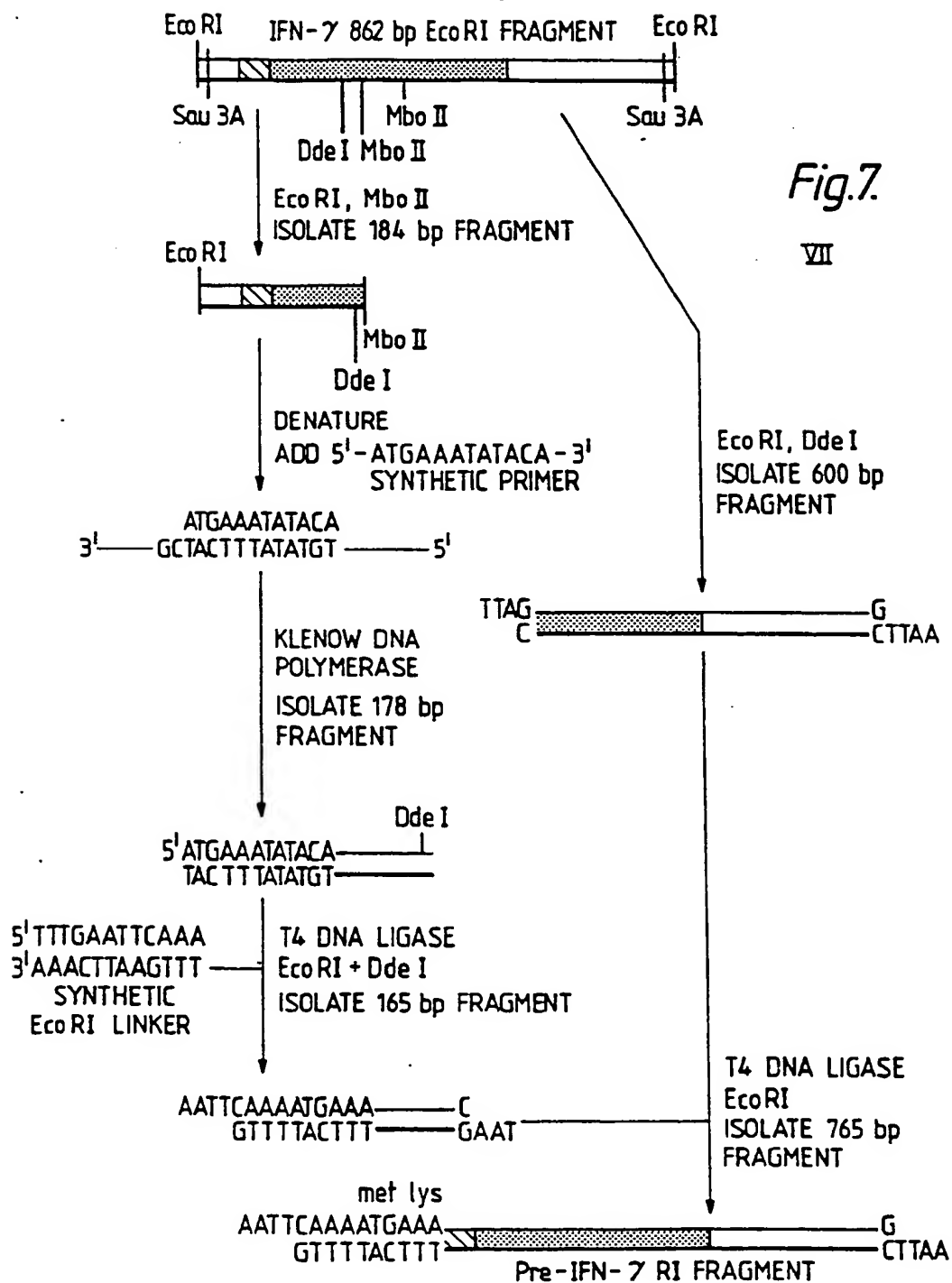
Fig.5.



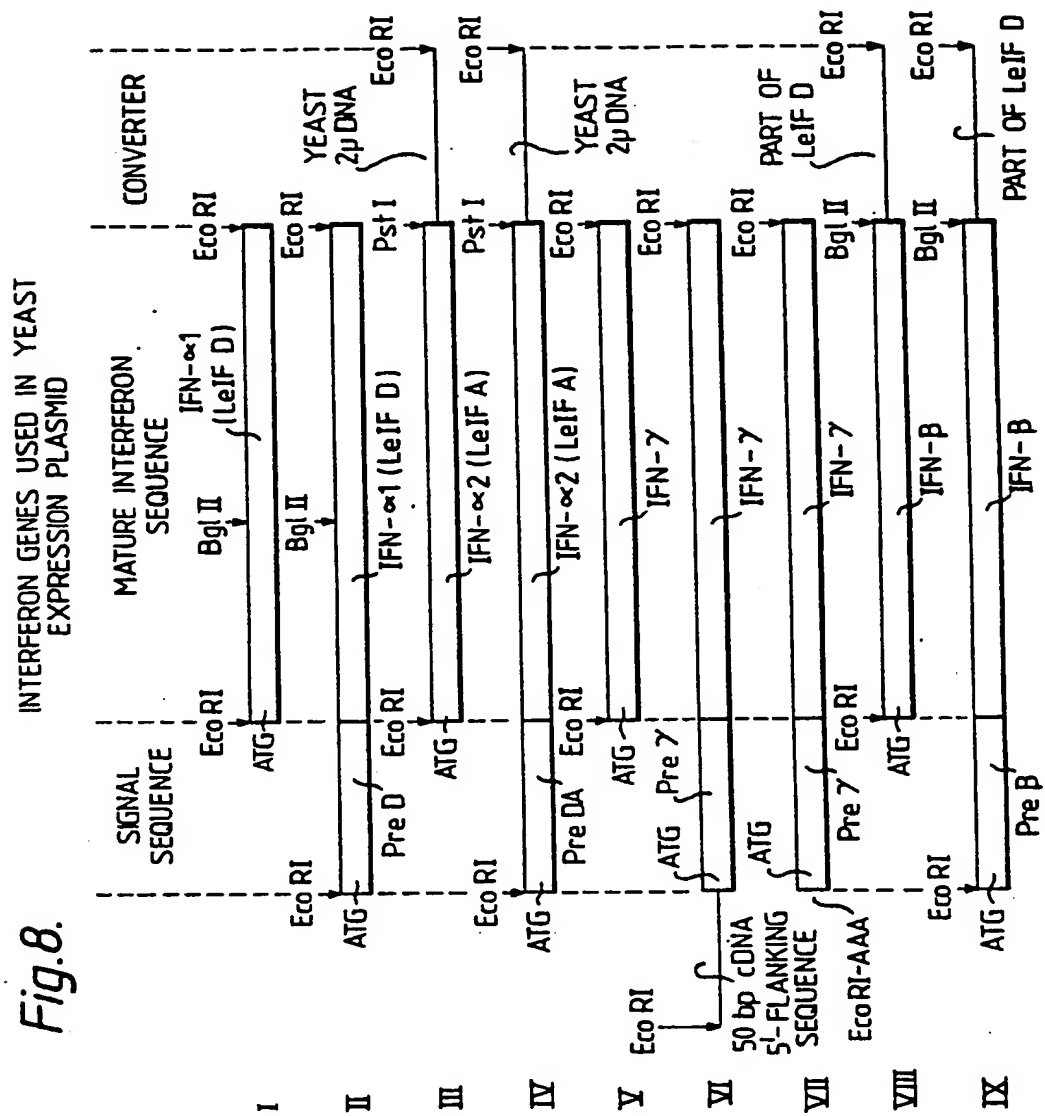
## VI



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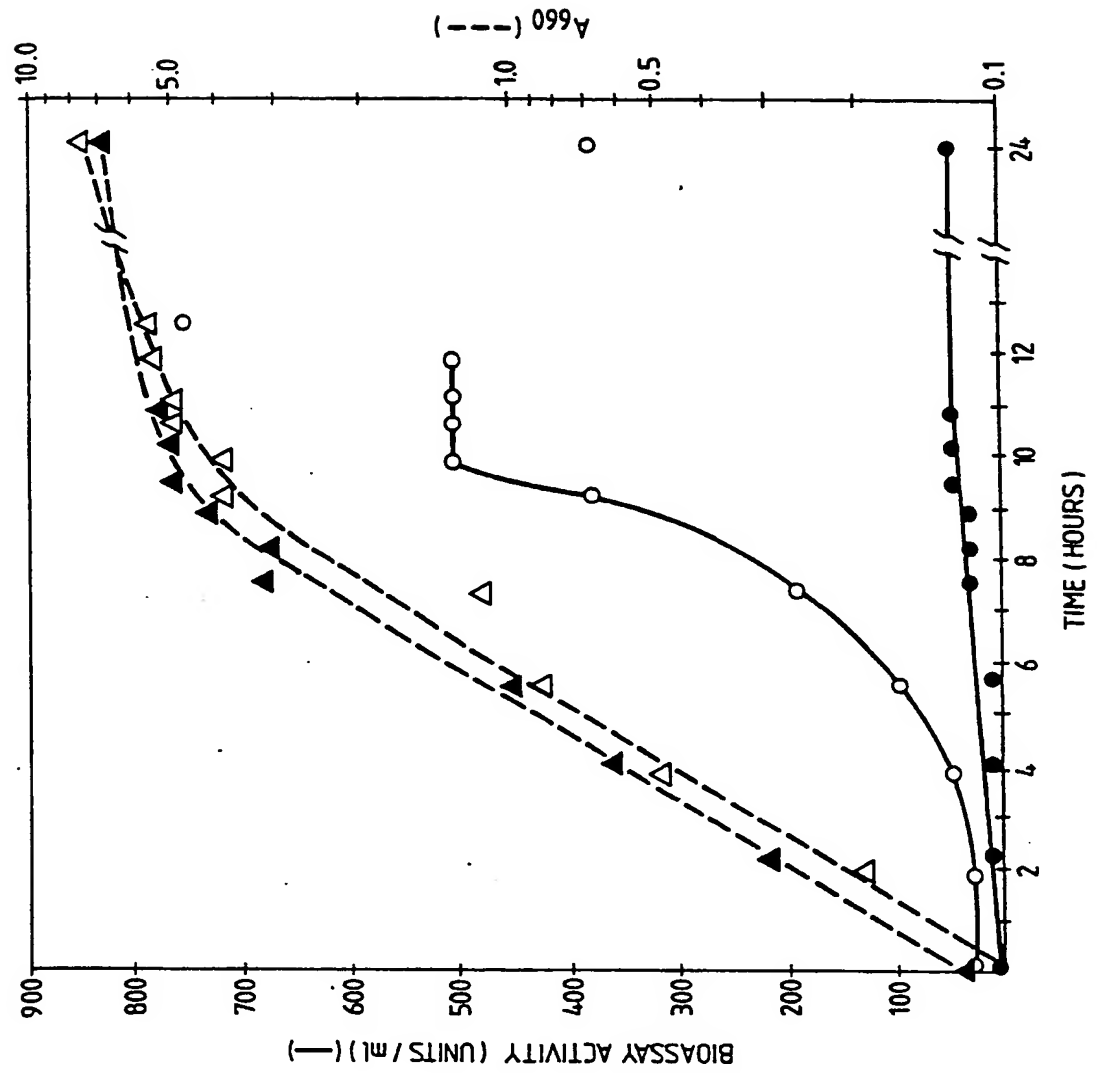
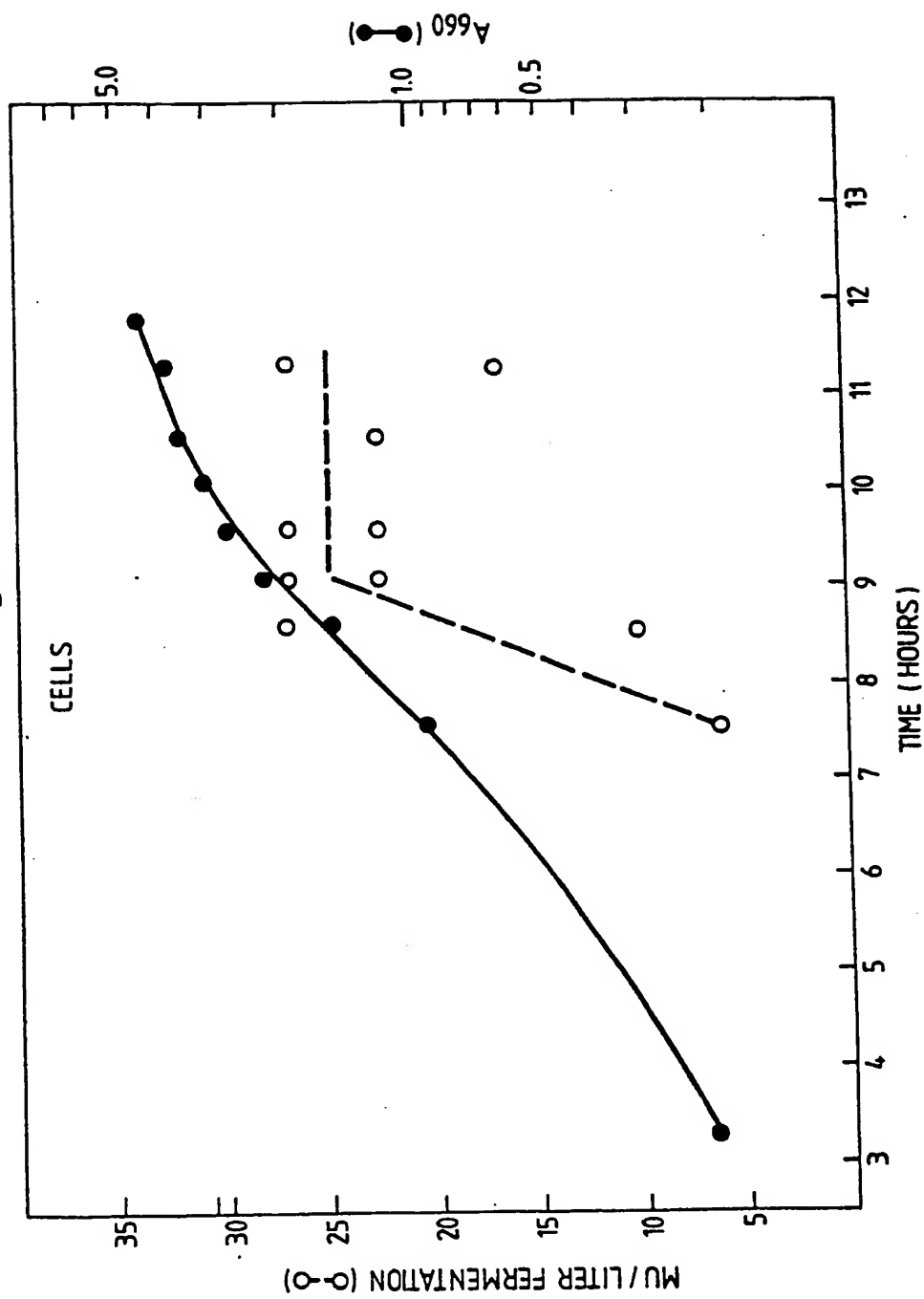


Fig.9.

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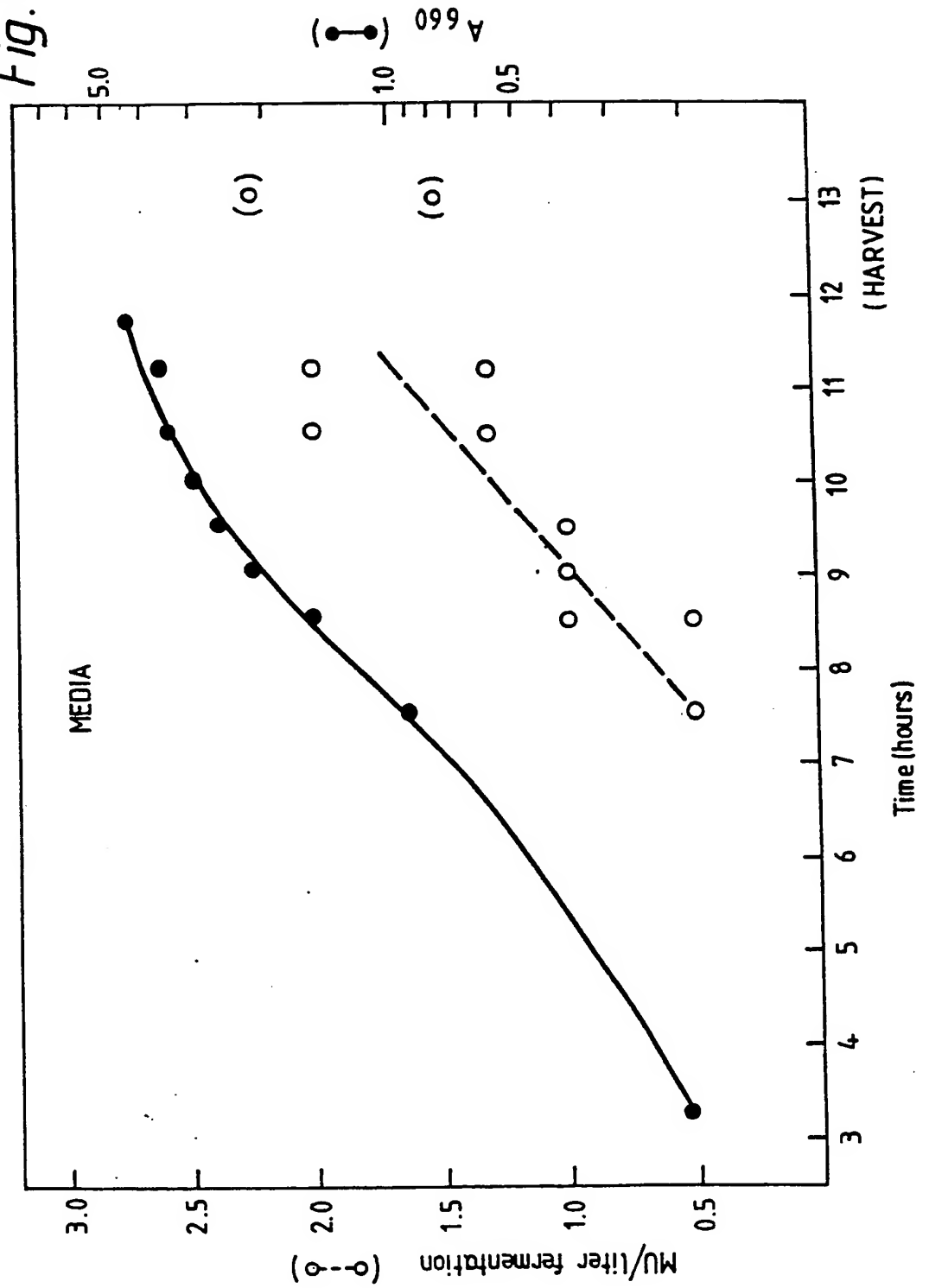
Fig. 10a.



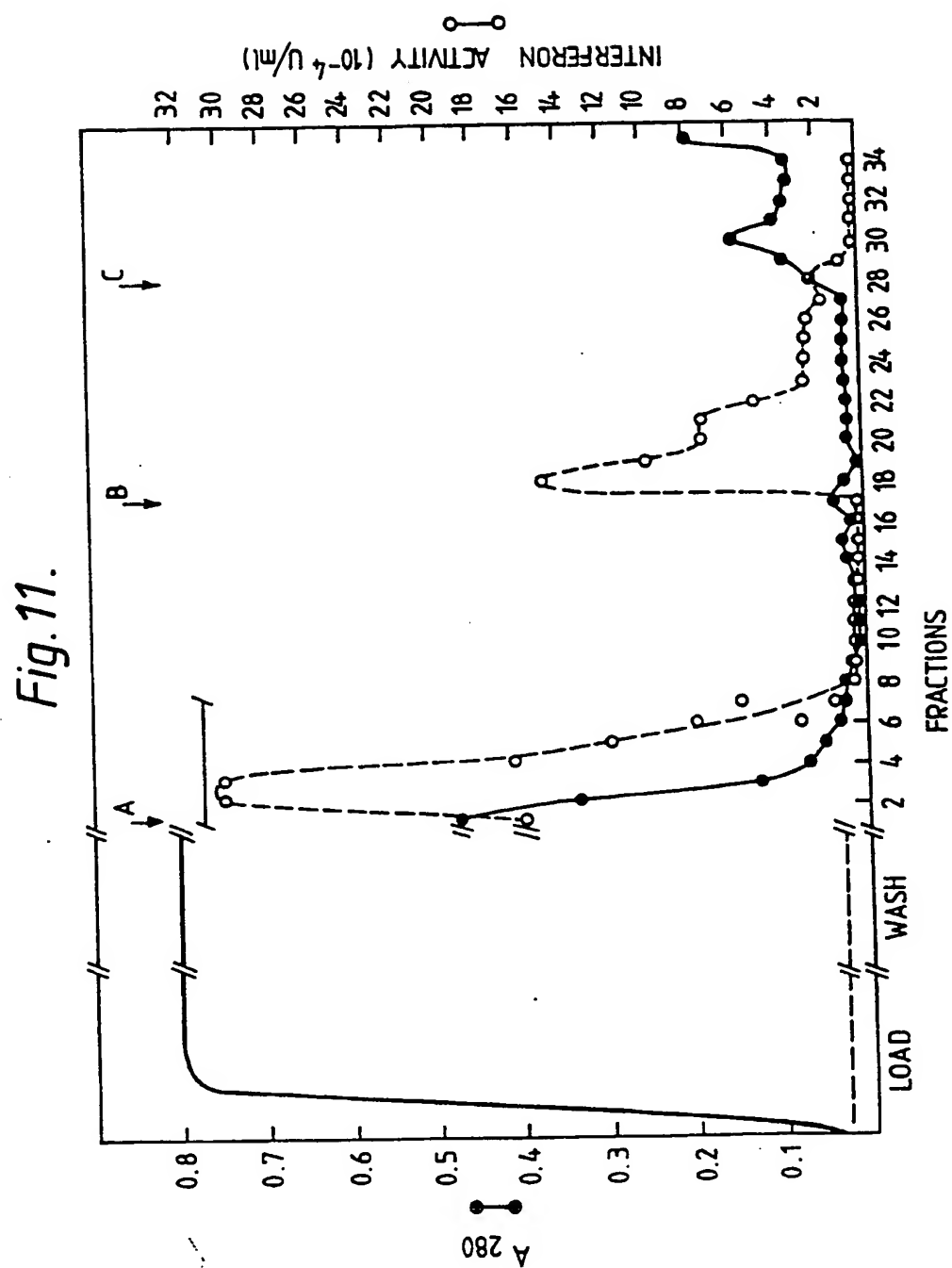


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Fig. 10b.

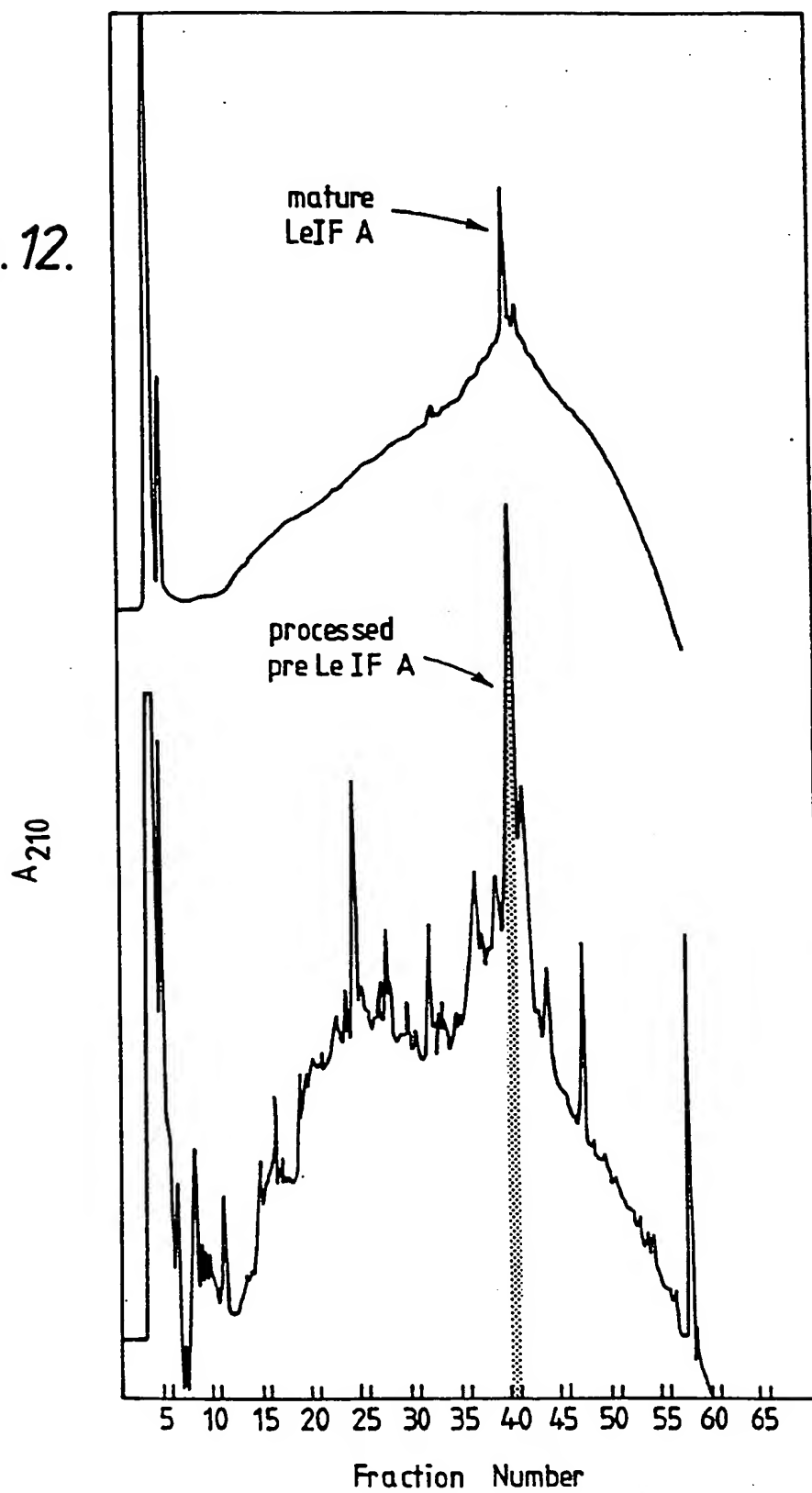


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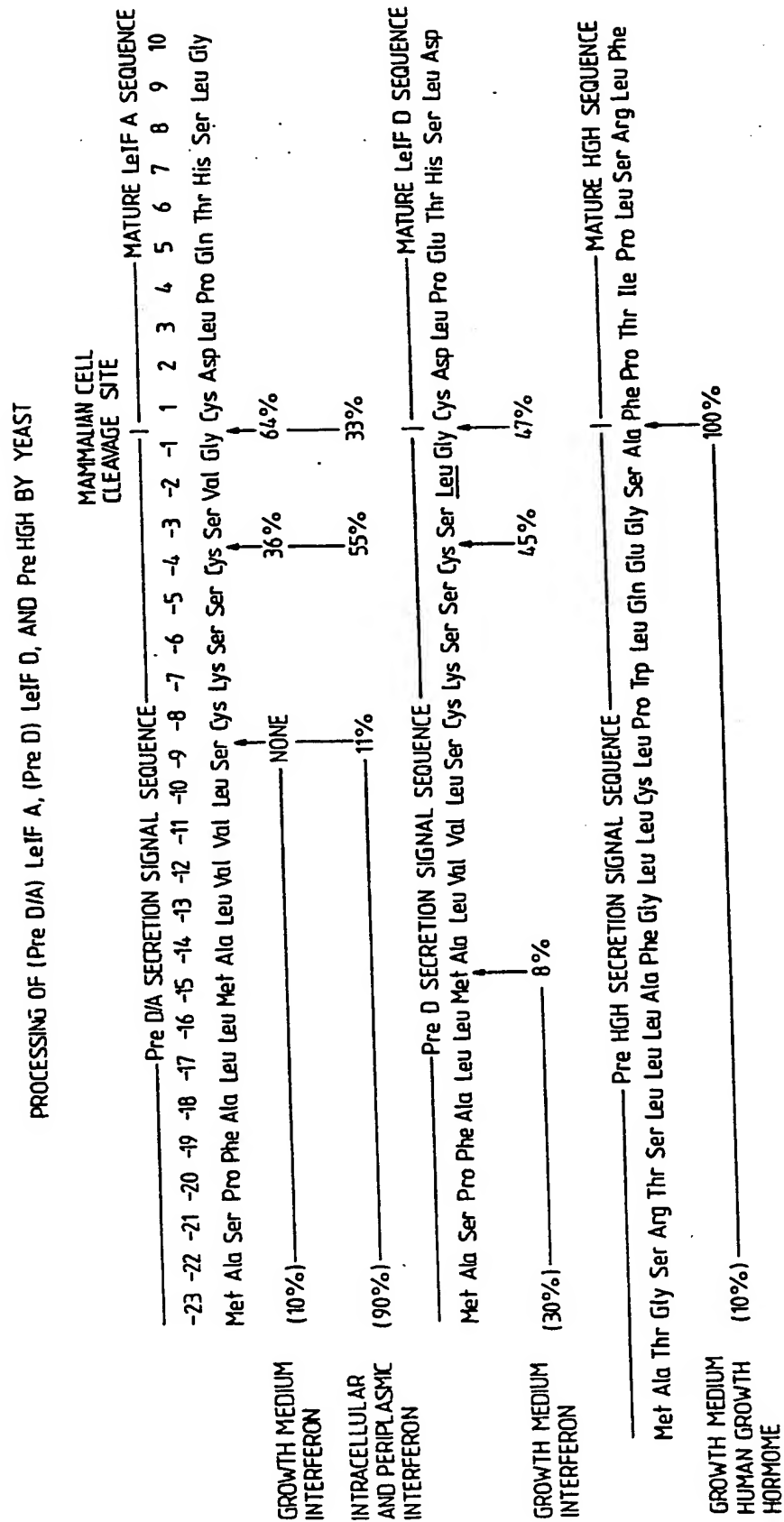
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Fig. 12.



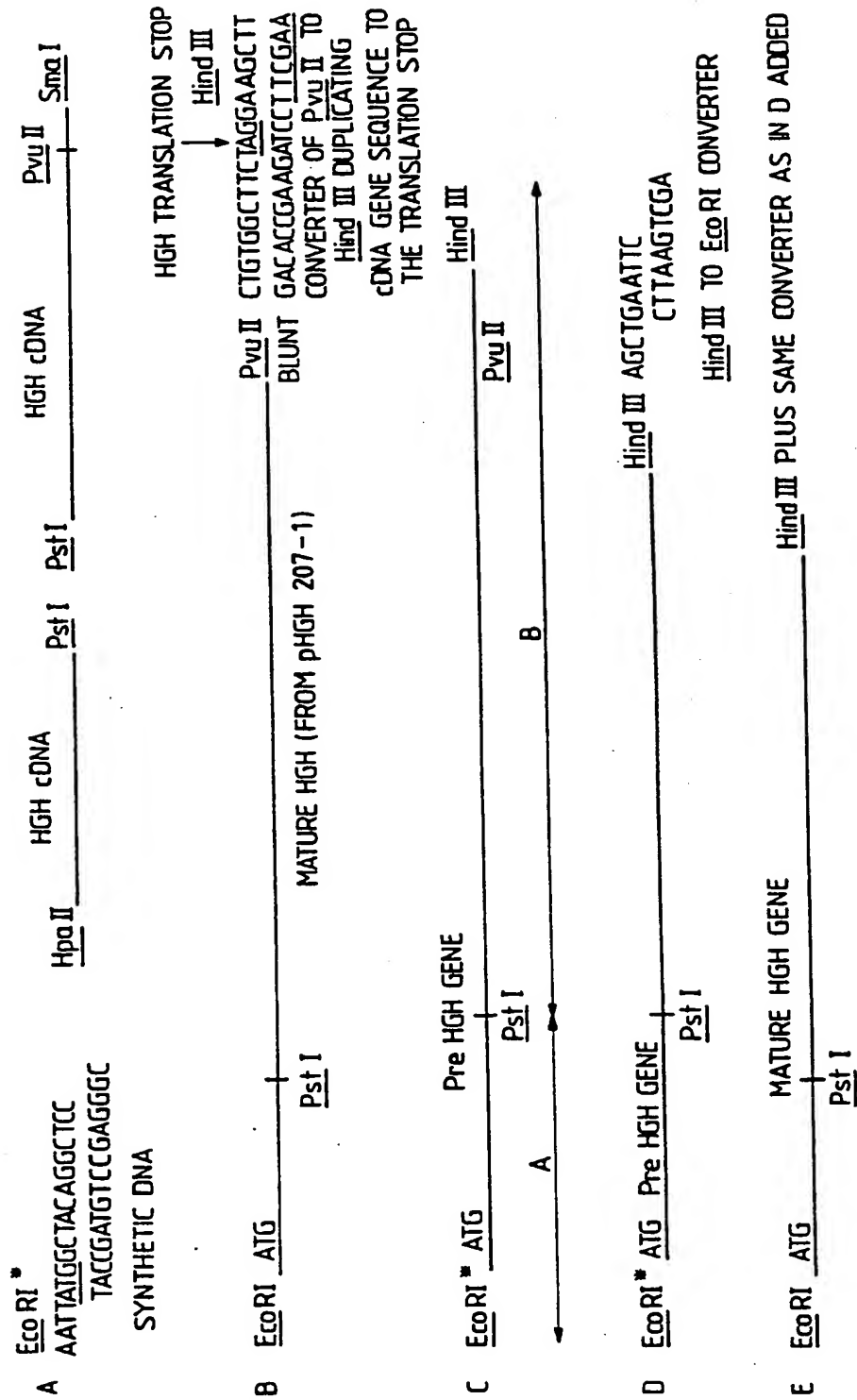
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Fig.13.



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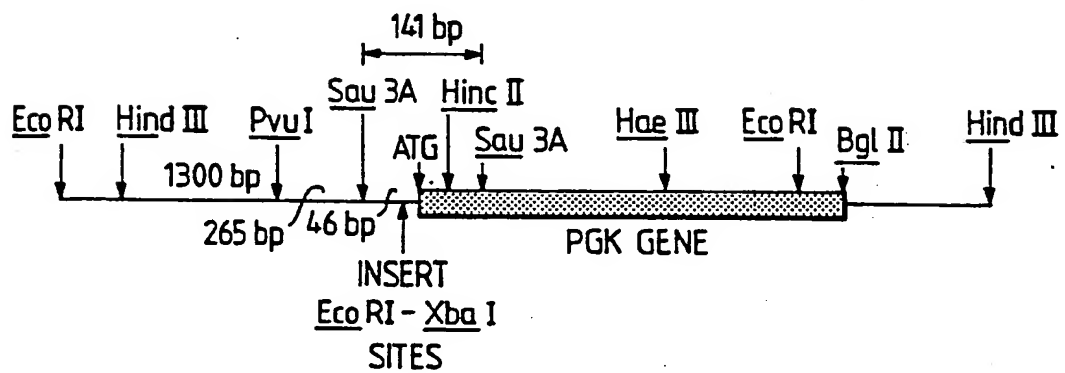
Fig. 14.



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*Fig. A.*

THE INSERTION OF AN EcoRI SITE IN THE 5' FLANKING DNA  
OF THE 3-PHOSPHOGLYCERATE GENE OF YEAST



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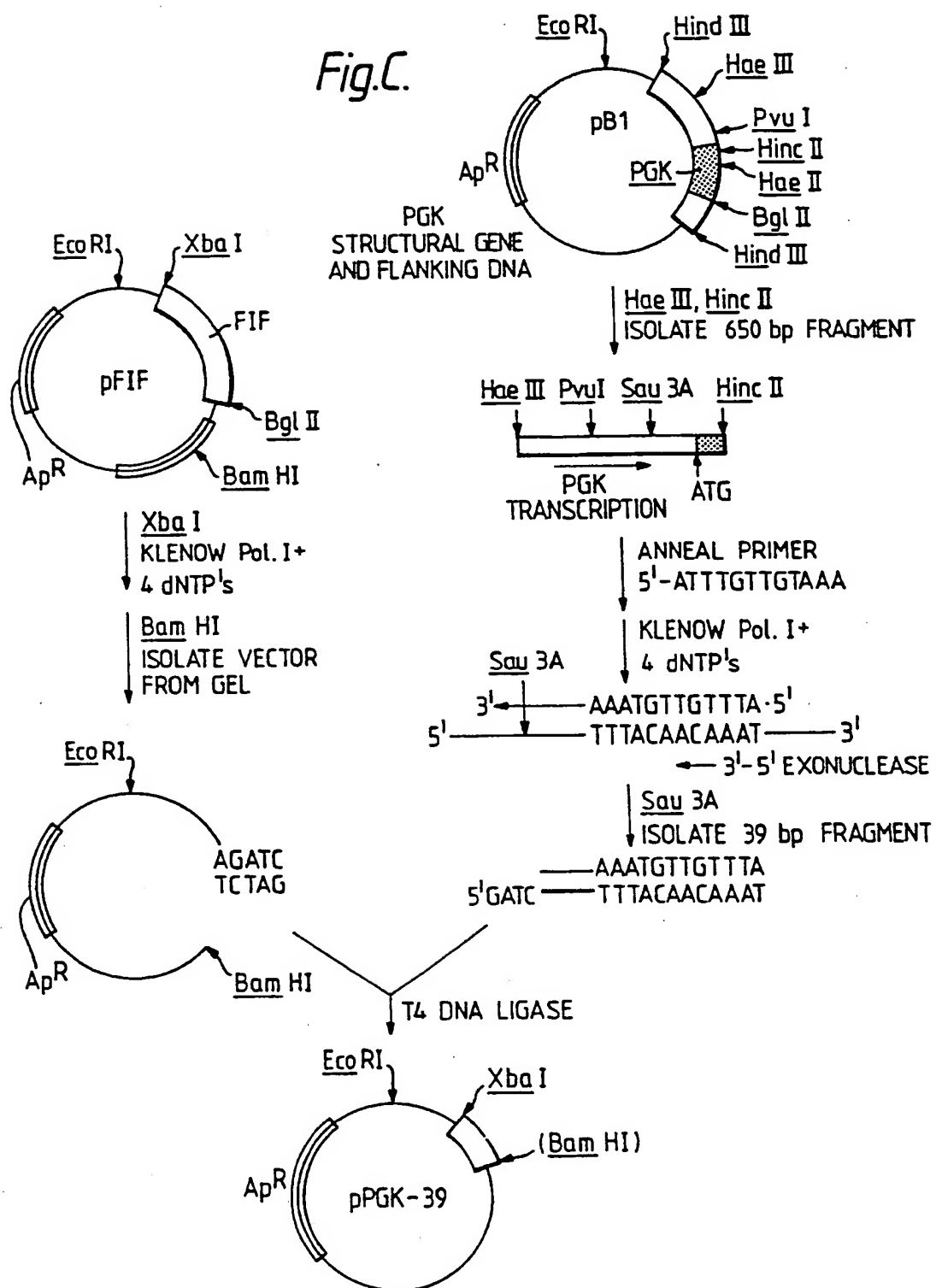
*Fig.B.*

DNA SEQUENCE OF THE 5' END  
OF THE YEAST 3-PHOSPHOGLYCERATE KINASE  
STRUCTURAL GENE AND FLANKING DNA

5'-----GATCATAAGGAAGTAATTATCTACTTTTTACAAACAATATAAACAATG TCT TTA TCT TCA AAG TTG CTC GTC  
-40 -30 -20 -10 MET SER LEU SER SER LYS LEU LEU VAL

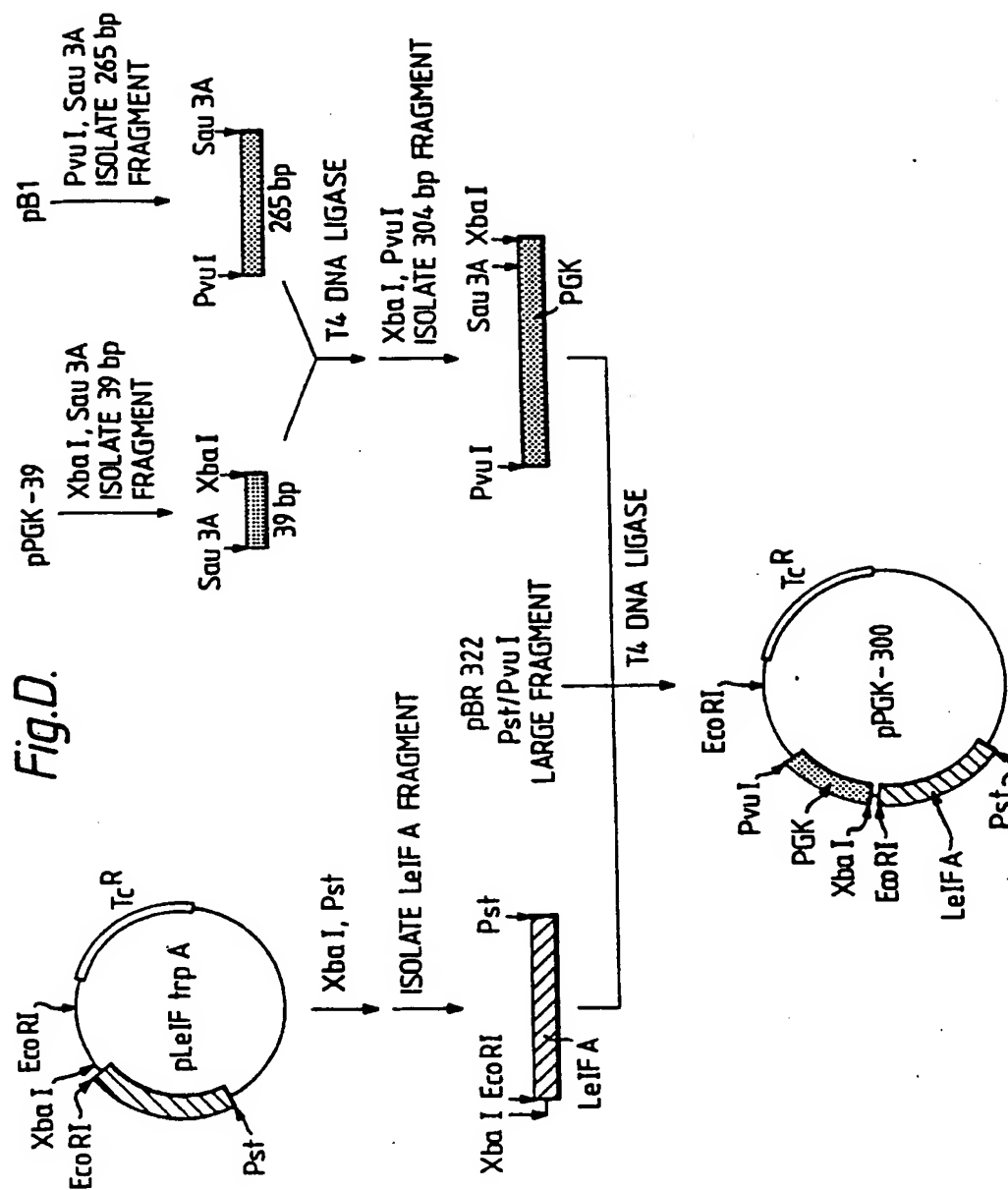
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Fig.C.



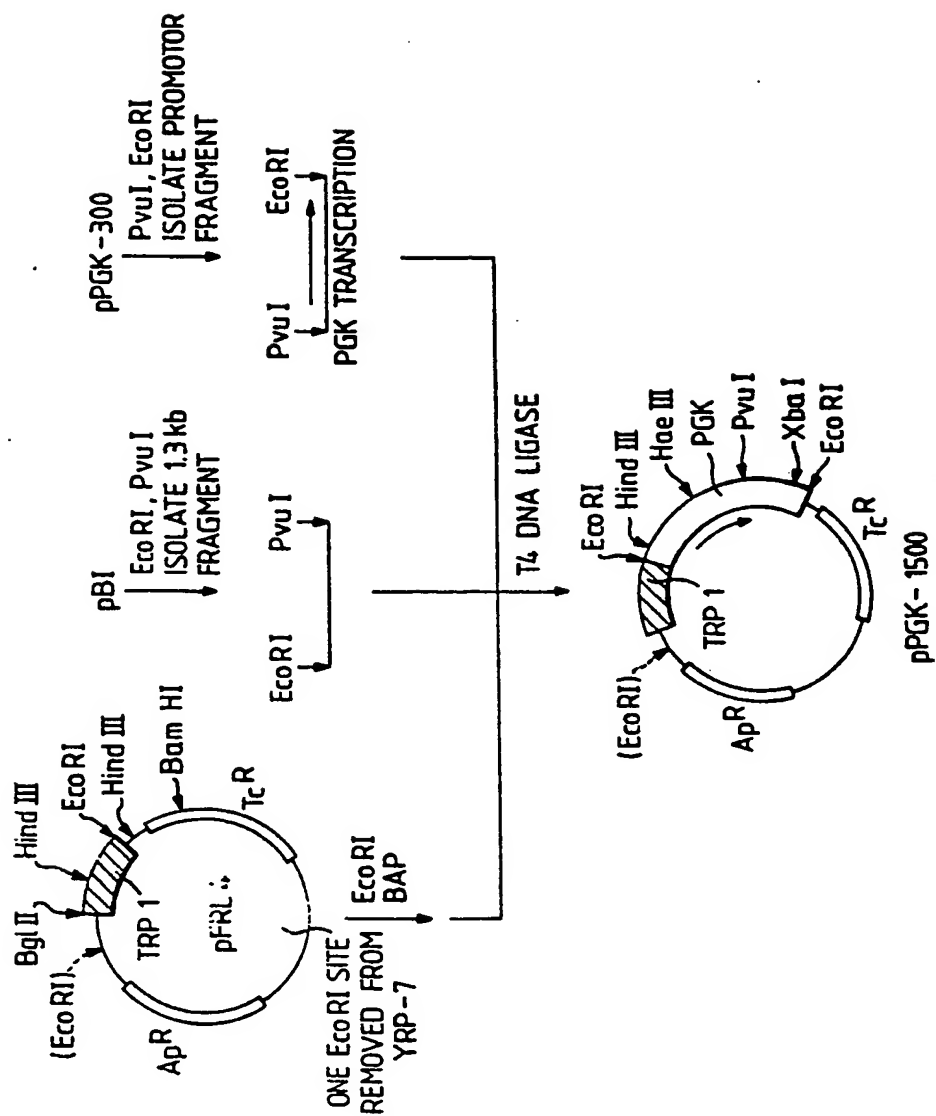


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Fig.E.



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